

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
October 2019 - September 2020

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Oct-19	17,726,584,641	13,626,968,187	10,677,994,795
Nov-19	17,352,622,862	13,494,111,852	10,598,616,601
Dec-19	17,424,045,419	13,708,345,628	10,685,655,093
Jan-20	18,036,403,315	14,405,535,743	11,370,623,199
Feb-20	17,499,368,109	13,506,464,142	10,353,237,457
Mar-20	16,700,458,372	10,886,543,804	6,619,716,112
Apr-20	18,022,260,050	12,993,998,405	8,884,151,714
May-20	18,261,111,465	13,179,957,277	9,056,427,886
Jun-20	18,282,030,903	13,606,116,108	9,410,649,300
Jul-20	18,503,328,611	14,101,173,151	9,960,420,252
Aug-20	18,554,240,166	13,439,287,808	9,320,381,739
Sep-20	19,218,441,181	14,183,028,626	10,217,275,228