

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
January 2020 - December 2020

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Jan-20	18,036,403,315	14,405,535,743	11,370,623,199
Feb-20	17,499,368,109	13,506,464,142	10,353,237,457
Mar-20	16,700,458,372	10,886,543,804	6,619,716,112
Apr-20	18,022,260,050	12,993,998,405	8,884,151,714
May-20	18,261,111,465	13,179,957,277	9,056,427,886
Jun-20	18,282,030,903	13,606,116,108	9,410,649,300
Jul-20	18,503,328,611	14,101,173,151	9,960,420,252
Aug-20	18,554,240,166	13,439,287,808	9,320,381,739
Sep-20	19,218,441,181	14,183,028,626	10,217,275,228
Oct-20	18,927,100,617	14,379,609,178	10,403,688,158
Nov-20	18,232,837,751	13,127,028,324	9,155,256,936
Dec-20	18,594,575,583	12,868,922,690	9,034,112,538