

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
January 2019 - December 2019

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Jan-19	18,257,899,014	14,644,690,466	12,138,101,761
Feb-19	18,221,012,701	13,843,860,197	11,316,815,834
Mar-19	18,137,209,470	13,924,559,111	11,403,277,470
Apr-19	17,260,583,265	13,159,284,081	10,626,503,293
May-19	17,152,772,052	13,126,635,390	10,504,166,552
Jun-19	16,739,640,949	12,581,100,440	9,995,597,078
Jul-19	17,012,982,867	12,979,042,105	10,282,781,470
Aug-19	17,258,881,242	13,308,701,070	10,403,493,388
Sep-19	17,079,154,113	13,358,061,325	10,453,379,670
Oct-19	17,726,584,641	13,626,968,187	10,677,994,795
Nov-19	17,352,622,862	13,494,111,852	10,598,616,601
Dec-19	17,424,045,419	13,708,345,628	10,685,655,093