

IM Fixed Income Approved Broker List

As of June 30, 2024

Approved Entity

- 1 280 Securities LLC
- 2 A.G.P. / Alliance Global Partners Corp
- 3 ABN AMRO Bank N.V.
- 4 ABN AMRO Securities (USA) LLC
- 5 Absa Bank Limited
- 6 Absa Securities U.S. Inc.
- 7 Academy Securities, Inc.
- 8 ACRO Securities
- 9 Adamant Capital Partners AD
- 10 Adcap Securities Uruguay Agente de Valores S.A.
- 11 Advisors Asset Management, Inc.
- 12 Agricultural Bank of China Limited
- 13 AK Capital LLC
- 14 Alamo Capital
- 15 Alter Domus Luxembourg S.à r.l.
- 16 Alterna Securities, Inc.
- 17 Ambrosia Capital Ltd
- 18 Ameriabank CJSC
- 19 American Veterans Group, PBC
- 20 Ameritas Investment Corp
- 21 Amerivet Securities, Inc.
- 22 Antares Holdings LP
- 23 ANZ Securities, Inc.
- 24 Arbor Research & Trading, LLC
- 25 Ardent Financial Limited
- 26 Ardshinbank CJSC
- 27 Arion Banki HF
- 28 ASL Capital Markets Inc.
- 29 Aurel BGC
- 30 Auriga Global Investors S.V., S.A.
- 31 Australia and New Zealand Banking Group Ltd.
- 32 B. Riley Securities, Inc.
- 33 Balanz Capital International Inc.
- 34 Banca IMI Securities Corp.
- 35 Banco BCT, S.A.
- 36 Banco Bilbao Vizcaya Argentaria Sociedad Anonima
- 37 Banco De Credito Del Peru

Approved Entity

- 38 Banco De Galicia Y Buenos Aires SA
- 39 Banco de Reservas de la Republica Dominicana
- 40 Banco do Brasil Securities LLC
- 41 Banco Hipotecario SA
- 42 Banco Santander Argentina S.A.
- 43 Banco Santander SA
- 44 Bancolumbia SA
- 45 Bancroft Capital, LLC
- 46 Bancrust Securities (Europe) Limited
- 47 Bank of America Europe Designated Activity Company
- 48 Bank of America NA
- 49 Bank of China Limited
- 50 Bank of Communications Co. Ltd.
- 51 Bank of Montreal
- 52 Bank of New York Mellon (The)
- 53 Bank of Nova Scotia (The)
- 54 Banka Kombetare Tregtare Sha
- 55 Banque Federative du Credit Mutuel
- 56 Barclays Bank PLC
- 57 Barclays Capital Inc.
- 58 Barclays Capital Securities Limited
- 59 Barrenjoey Markets Pty Limited
- 60 Bay Crest Partners, LLC
- 61 Bayerische Landesbank
- 62 BB&T Investment Services, Inc.
- 63 BB&T Securities, LLC
- 64 BBVA Securities Inc.
- 65 BCP Securities, Inc.
- 66 BCS Americas, Inc.
- 67 Bedrok Securities LLC
- 68 Beech Hill Securities, Inc.
- 69 Belfius Bank
- 70 Belle Haven Investments, L.P.
- 71 Benchmark Securities, LLC
- 72 Bernardi Securities, Inc.
- 73 BGC Brokers LP
- 74 BGC Financial, L.P.

Approved Entity

- 75 BGC Liquidez Distribuidora de Titulos E Valores Mobiliarios Ltda
- 76 Blaylock Van, LLC
- 77 BMO Capital Markets Corp.
- 78 BMO Harris Bank, National Association
- 79 BNP Paribas
- 80 BNP Paribas (China) Limited
- 81 BNP Paribas Prime Brokerage International, Limited
- 82 BNP Paribas Prime Brokerage, Inc.
- 83 BNP Paribas Securities Corp.
- 84 BNY Mellon Capital Markets, LLC
- 85 Boenning & Scattergood, Inc.
- 86 BOFA Securities, Inc.
- 87 BOK Financial Securities Inc.
- 88 BOKF, National Association
- 89 Bradesco Securities, Inc.
- 90 Brean Capital, LLC
- 91 BRED Banque Populaire
- 92 Bridport & Cie SA
- 93 Brownstone Investment Group, LLC
- 94 BSN Capital Partners LLC
- 95 BTG Pactual US Capital, LLC
- 96 BTIG Limited
- 97 BTIG, LLC
- 98 C. L. King & Associates, Inc.
- 99 Cabrera Capital Markets, LLC
- 100 Caixabank SA
- 101 Caldwell Sutter Capital, Inc
- 102 Cambridge International Securities, LLC
- 103 Canaccord Genuity LLC
- 104 Canadian Imperial Bank of Commerce
- 105 Cantor Fitzgerald & Co.
- 106 Capital One Financial Corporation
- 107 Capital One Securities, Inc.
- 108 Capitol Securities Management, Inc.
- 109 Carty & Company, Inc.
- 110 Casa De Bolsa Finamex, S.A.B. De C.V.
- 111 Cascade Financial Services

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112 CastleOak Securities, LP	149 CSI Global Markets Limited	186 Federal Home Loan Banks Office of Finance
113 Chase Lincoln First Commercial Corporation	150 D.A. Davidson & Co.	187 Federal Home Loan Mortgage Corporation
114 China Construction Bank Corporation	151 Daiw a Capital Markets America Inc.	188 Federal National Mortgage Association
115 China Merchants Bank Co., Ltd.	152 Daiw a Capital Markets Europe Limited	189 Federal Reserve Bank of New York
116 CIBC World Markets Corp.	153 Daiw a Securities Co. Ltd.	190 Fenics Software LTD.
117 CIMB Bank Berhad	154 Danske Bank A/S	191 FHN Financial Securities Corp.
118 CINCaP Investment Group, LLC	155 Davenport & Company LLC	192 Fifth Third Securities, Inc.
119 Citadel Securities Institutional LLC	156 DBS Bank (China) Limited	193 First Ballantyne, LLC
120 Citibank (China) Co. Ltd.	157 DBS Bank Ltd.	194 First Brokers Securities LLC
121 Citibank Europe PLC	158 Desjardins Securities International Inc.	195 First Horizon Bank
122 Citibank NA	159 Deutsche Bank (China) Co. Ltd.	196 First Tryon Securities, LLC
123 CITIC Securities Co., Ltd.	160 Deutsche Bank AG	197 FirstRand Bank Limited
124 Citigroup Global Markets Inc.	161 Deutsche Bank Securities Inc.	198 Flow Traders B.V.
125 Citigroup Global Markets Limited	162 Dinosaur Financial Group, L.L.C.	199 Flow Traders US Institutional Trading LLC
126 Citizens JMP Securities, LLC	163 DNB Bank ASA	200 FMSbonds, Inc.
127 CLSA Americas, LLC	164 Drexel Hamilton, LLC	201 Folger, Nolan, Fleming, Douglas, Inc.
128 CMG Securities LLC	165 Duncan-Williams, Inc.	202 Fossar Markadir Hf
129 Colliers Securities LLC	166 DZ Bank AG Deutsche Zentral-Genossenschaftsbank, Frankfurt AM Main	203 Frazer Lanier Company, Incorporated (The)
130 Commerce Brokerage Services, Inc.	167 Eastern and Southern African Trade Fund	204 Frost Bank
131 Commerz Markets LLC	168 Eastern And Southern African Trade And Development Bank	205 Gamma Securities LLC
132 Commerzbank Aktiengesellschaft	169 Eastern Bank	206 General Electric Company
133 Commonwealth Bank of Australia	170 EBS Limited	207 George K. Baum & Company
134 Concourse Financial Group Securities Inc	171 Edelweiss Financial Services Limited	208 GFI Securities Limited
135 Continental Capital Markets SA	172 EFG-HERMES UAE Limited	209 GFI Securities LLC
136 Cooperatieve Rabobank U.A.	173 EIG Global Energy Partners LLC	210 Gletir Corredor de Bolsa S.A.
137 Cowen And Company, LLC	174 Elefant Markets Inc.	211 Global Frontera Markets Inc.
138 Credicorp Capital Colombia S.A.	175 Emirates NBD Bank PJSC	212 Global Loan Agency Services Limited
139 Credicorp Capital Securities, Inc.	176 Emmet & Co, Inc.	213 Goldman Sachs & Co. LLC
140 Credit Agricole Corporate and Investment Bank	177 Enclave Capital LLC	214 Goldman Sachs International
141 Credit Agricole Securities (USA) Inc.	178 Equity Bank Kenya Limited	215 Golub Capital LLC
142 Credit Industriel Et Commercial	179 Erste Group Bank AG	216 Great Pacific Securities
143 Credit Suisse AG	180 Estrada Hinojosa & Company, Inc.	217 Greylock Capital Management, LLC
144 Credit Suisse International	181 Evercore Group L.L.C.	218 Guggenheim Securities, LLC
145 Credit Suisse Securities (Europe) Limited	182 Everest Re Group, Ltd	219 Guotai Junan Securities (Hong Kong) Limited
146 Credit Suisse Securities (USA) LLC	183 Exane	220 Haitong Securities USA LLC
147 Crews & Associates, Inc.	184 Falcon Square Capital, LLC	221 Hapoalim Securities USA, Inc.
148 Cross Point Capital LLC	185 Faros Trading LLC	222 Herbert J. Sims & Co. Inc.

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223 Hilltop Securities Inc.	260 Keybank Capital Markets Inc.	297 Middlegate Securities Ltd.
224 Horseshoe Re Limited	261 KGS-Alpha Capital Markets, L.P.	298 Millennium Advisors, LLC
225 HPC	262 KKR Corporate Lending (TN) LLC	299 Millennium Europe Limited
226 HSBC Bank (China) Company Limited	263 KNG Securities LLP	300 Mills Capital Markets SA
227 HSBC Bank PLC	264 Kotak Mahindra (UK) Ltd.	301 Mischler Financial Group, Inc.
228 HSBC Securities (USA) Inc.	265 Kvika Banki Hf	302 Mitsubishi UFJ Financial Group, Inc.
229 Hunt Financial Securities, LLC	266 Kyte Broking Limited	303 Mitsubishi UFJ Trust And Banking Corporation
230 Huntington Securities, Inc.	267 La Banque Postale	304 Mitsubishi UFJ Trust International Limited
231 ICAP Corporates LLC	268 Landesbank Baden-Wuerttemberg	305 Mizuho Bank (China), Ltd.
232 ICBC Standard Bank PLC	269 Landesbank Hessen-Thueringen Girozentrale	306 Mizuho Bank, Ltd.
233 ICE Bonds Securities Corporation	270 Landsbankinn Hf	307 Mizuho International PLC
234 IFS Securities, Inc	271 Larrain Vial S.A. Corredora De Bolsa	308 Mizuho Securities USA LLC
235 Imperial Capital, LLC	272 Latin Securities SA Agente De Valores	309 Morgan Stanley & Co. International PLC
236 Industrial and Commercial Bank of China Limited	273 Liberty Bank JSC	310 Morgan Stanley & Co. LLC
237 ING Bank NV	274 Liquidity Finance LLP	311 Morgan Stanley Bank, N.A.
238 ING Financial Markets LLC	275 Liquidnet Europe Ltd.	312 Morgan Stanley Capital Services LLC
239 InspereX LLC	276 Liquidnet, Inc.	313 Morgan Stanley Senior Funding, Inc.
240 Intercoastal Capital Markets, Inc.	277 Lloyds Bank Corporate Markets PLC	314 Mountainside Securities LLC
241 International FCStone Credit Trading LLC	278 Lloyds Bank PLC	315 MTS Markets International, Inc.
242 Intesa Sanpaolo SpA	279 Lloyds Securities Inc.	316 MUFG Securities Americas Inc.
243 Is Yatirim Menkul Degerler AS	280 Loop Capital Markets LLC	317 MUFG Securities EMEA PLC
244 Islandsbanki Hf	281 Lps Capital LLC	318 MUFG Union Bank, N.A.
245 ITAU BBA USA Securities, Inc.	282 M&V Investments	319 Multi-Bank Securities, Inc.
246 J.P. Morgan Securities LLC	283 Macquarie Bank Limited	320 NatAlliance Securities, LLC
247 J.P. Morgan Securities PLC	284 Mandiri Securities Pte Ltd	321 National Australia Bank Limited
248 J.V.B. Financial Group, LLC	285 Marex Capital Markets Inc.	322 National Bank of Canada
249 Jane Street Execution Services, LLC	286 Mariva Capital Markets, LLC	323 National Financial Services LLC
250 Jane Street Financial Ltd	287 Marketaxess Capital Limited	324 Natixis
251 Janney Montgomery Scott LLC	288 MarketAxess Corporation	325 NatWest Markets PLC
252 Jefferies International Ltd.	289 Marketaxess Holdings Inc.	326 NatWest Markets Securities Inc.
253 Jefferies LLC	290 Max Capital S.A. Agente De Valores	327 Nearwater Liquid Markets, LLC
254 Joe Jolly & Co., Inc.	291 Maxim Group LLC	328 Nedbank Ltd
255 JPMorgan Chase Bank (China) Company Limited	292 MBS Capital Markets, LLC	329 Nomura International PLC
256 JPMorgan Chase Bank, N.A.	293 MBS Source ETrading, Inc.	330 Nomura Securities Co., Ltd.
257 JSC Bank of Georgia	294 Merrill Lynch International	331 Nomura Securities International, Inc.
258 KBC Bank NV	295 Mesirow Financial, Inc.	332 Norddeutsche Landesbank -Girozentrale-
259 Kepler Cheuvreux	296 MFR Securities, Inc.	333 Nordea Bank Abp

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334 Norinchukin Bank (The)	371 Regions Bank	408 Sumitomo Mitsui Trust Bank, Limited
335 Northern Trust Company (The)	372 Rencap Securities, Inc.	409 Sumridge Partners, LLC
336 Northland Securities, Inc.	373 Robert W. Baird & Co. Incorporated	410 Susquehanna Financial Group, LLLP
337 Northw estern Mutual Life Insurance Company (The)	374 Roosevelt & Cross, Incorporated	411 SWBC Investment Services, LLC
338 NW Financial Group LLC	375 Royal Bank of Canada	412 Sw edbank AB
339 Nykredit Bank A/S	376 Samco Capital Markets, Inc.	413 Sw edbank Securities US, LLC
340 Octo Finances SA	377 Samuel A. Ramirez & Company, Inc.	414 Tanner Servicios Financieros S.A.
341 Oddo BHF SCA	378 Sanderlin Securities, LLC	415 TBC Bank JSC
342 Odeon Capital Group LLC	379 Sandler, O'Neill & Partners, L.P.	416 TD Securities (USA) LLC
343 Old Mission Markets LLC	380 Santander US Capital Markets LLC	417 TD Securities Automated Trading LLC
344 OP Corporate Bank PLC	381 SC Low y Financial (Hk) Limited	418 The Baker Group LP
345 Oppenheimer & Co. Inc.	382 Scotia Capital (USA) Inc.	419 The GMS Group, LLC
346 OTP Bank Nyrt.	383 Seaport Global Securities LLC	420 Tigerrisk Capital Markets & Advisory (UK) Limited
347 Pareto AS	384 Seaport Group Europe LLP (The)	421 Tigress Financial Partners, LLC
348 PartnerRe Capital Management LTD.	385 SecureVest Financial Group	422 TMC Bonds L.L.C.
349 Peach Funding Corporation	386 SG Americas Securities, LLC	423 Torino Capital, LLC
350 Peach Funding Holdings LLC	387 Shanghai Pudong Development Bank Co. Ltd.	424 Toronto-Dominion Bank (The)
351 Pembroke Capital Advisors Limited	388 Siebert Williams Shank & Co., LLC	425 Toyota Financial Services Securities USA Corporation
352 Penserra Securities, LLC	389 Sierra Pacific Securities, LLC	426 Toyota Motor Credit Corporation
353 Performance Trust Capital Partners, LLC	390 Skandinaviska Enskilda Banken AB	427 TP ICAP Markets Limited
354 Pershing LLC	391 SMBC Nikko Capital Markets Limited	428 TPCG Financial Services Agente De Valores S.A.
355 Piper Jaffray & Co.	392 SMBC Nikko Securities America, Inc.	429 TPG BD Finance, L.P.
356 PNC Bank NA	393 Societe Generale	430 Tradew eb Direct, LLC
357 PNC Capital Markets LLC	394 Stanbic Africa Holdings Limited	431 Tradew eb Europe Ltd.
358 Prager & Co., LLC	395 Standard Chartered Bank	432 Tradition Securities & Futures SA
359 Prudential Funding LLC	396 Standard Chartered Bank (China) Limited	433 Tradition Securities and Derivatives Inc.
360 Puente Corredor De Bolsa S.A.	397 State Street Bank and Trust Company	434 Truist Securities, Inc.
361 Qatar National Bank (Q.P.S.C.)	398 State Street Global Markets, LLC	435 Trumid Financial LLC
362 R. Seelaus & Co. Inc.	399 Stephens Inc.	436 TSAF OTC SA
363 R.J. Obrien (MENA) Capital Limited	400 Stern Brothers & Co.	437 Tullett Prebon (Securities) Limited
364 R.W. Pressprich & Co.	401 Stew ard Partners Investment Solutions, LLC	438 U.S. Bancorp Investments, Inc.
365 Raiffeisen Bank International AG	402 Stifel Nicolaus Europe Limited	439 U.S. Bank National Association
366 Raymond James & Associates, Inc.	403 Stifel, Nicolaus & Company, Incorporated	440 UBS AG
367 RB International Markets (USA) LLC	404 Stoeve r, Glass & Company Inc.	441 UBS Europe SE
368 RBC Capital Markets, LLC	405 StoneX Financial Inc.	442 UBS Financial Services Inc.
369 RBC Dominion Securities Inc.	406 StormHarbour Securities LP	443 UBS Securities Company Limited
370 RBC Europe Limited	407 Sumitomo Mitsui Banking Corporation	444 UBS Securities LLC

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445 UC-United Capital Puesto de Bolsa, S.A.
446 UMB Bank NA
447 UMB Financial Corporation
448 Unicredit Bank AG
449 Unicredit Capital Markets LLC
450 United Capital Markets, Inc.
451 Vantage Capital Markets LLP
452 Vining-Sparks IBG, Limited Partnership
453 Virtu Americas LLC
454 Virtu Europe Trading Limited
455 Virtus Capital Markets LLC
456 Wall Street Access
457 WealthMan Corredor De Bolsa S.A.
458 Wedbush Securities Inc.
459 Wells Fargo Bank, National Association
460 Wells Fargo Clearing Services, LLC
461 Wells Fargo Securities International Limited
462 Wells Fargo Securities, LLC
463 Westpac Banking Corporation
464 Wiley Bros.-Aintree Capital, LLC
465 William Blair & Company L.L.C.
466 Williams Capital Group LP
467 Willis Securities, Inc.
468 X-Caliber Capital Corp
469 XP Investments US, LLC
470 Xtellus Capital Partners, Inc.
471 Zeus Financial, LLC
472 Ziegler Capital Markets Group
473 Zions Bancorporation, National Association
474 Zuercher Kantonbank