

# Morgan Stanley

## Initial Application & Subsequent Subscription Form

Morgan Stanley Funds (UK)

**This form is for investment in Morgan Stanley Funds (UK) by institutional investors. Before completion, please ensure that you read the Important Notes overleaf.**

# Important notes

## SECTION A: Personal details

Applicants should complete this section in full.

Please indicate if you are an existing Morgan Stanley Funds (UK) client, and where applicable, provide your account number.

## SECTION B: Investment details

Please note the minimum investment amounts and specify whether you are making an initial subscription or a subsequent subscription.

For each fund enter how much you are investing.

## SECTION C: Declarations

This application form should be executed and signed by a duly authorised official (or officials) whose representative capacity must be stated. A corporation must affix its common seal and/or sign under the hand of a duly authorised official(s). A copy of the applicant's authorised signatories list evidencing the authority of such official(s) to make this application must accompany this form. In signing this application form, please ensure you are able to make the following declarations. If in doubt, please contact Customer Services on 0800 096 1962.

- a) I/We confirm that the applicant has full power and capacity to subscribe to the Morgan Stanley Funds (UK) (the "Company") and to enter into any subsequent transactions. I/We understand that this application and any subsequent transactions are irrevocable and legally binding.
- b) I/We undertake to send the subscription monies as detailed in the 'Investment Details' section.
- c) I/We confirm that I/we have received and read a copy of the Company's latest Prospectus, and relevant Key Investor Information document. This subscription and any subsequent subscriptions are based solely on and subject to these documents, the Instrument of Incorporation and my/our application forms. Copies of the latest Key Investor Information documents are available at [www.morganstanleyfunds.co.uk](http://www.morganstanleyfunds.co.uk), from your financial advisor, or from Morgan Stanley Investment Management (ACD) Limited, 25 Cabot Square, London, E14 4QA.
- d) I/We confirm that I/we are not prohibited by any applicable laws or regulations to subscribe for Shares in the Morgan Stanley Funds (UK).
- e) I/We declare that all the information provided in this application form and the statements made in it are correct and understand they shall apply to any subsequent transactions. I/We shall notify the Company promptly in writing of any changes.
- f) I/We acknowledge that I/we will be required to provide identity evidence required by any applicable laws and regulations relating to anti-money laundering checks. This subscription and any future transactions shall not be processed until such information is received.
- g) I/We agree that telephone conversations in respect of any transactions may be recorded and that any instructions given by telephone, fax, electronic or other means are at my/our risk.
- h) I/We give our express consent that the Company and any entity employed to provide services to it on its behalf (a 'Relevant Entity') including without limitation Morgan Stanley Investment Management (ACD) Limited (in its capacity as authorised corporate director of the Company) may process (including collecting, using and storing) personal data about me/us for its/their business purposes. I/We understand that pursuant to any applicable laws I/we may have a right of access to data concerning me/us and that I/we have a right to require that such data be corrected if inaccurate. I/We understand and consent to the Company and any Relevant Entity processing my/our personal data anywhere in the world which is necessary for business purposes. I/We also understand that the Company and/or any Relevant Entity may for its own purposes or pursuant to applicable laws or regulations store personal data for prescribed periods.
- i) I/We acknowledge that, in the situation where we have subscribed for shares in Morgan Stanley Funds (UK) through an intermediary, the intermediary does not act as an agent of Morgan Stanley Funds (UK) or Morgan Stanley Investment Management (ACD) Limited.
- j) I/We understand that this subscription and any subsequent transactions are governed by and construed in accordance with the laws of England.

## SECTION D: Income payment

Dividend distribution option.

This section applies to those applicants investing Income Shares. Income Shares are only available in the Sterling Corporate Bond. If you would prefer for income to be paid to you for the distribution amount, please provide your bank details. If no indication is made, the distribution amount from the Income Shares will be automatically reinvested in Income Shares in the same Fund.

## SECTION E: Financial Advisors

If you are a financial advisor completing this application on behalf of your client, please remember to add your company stamp and complete this section.

## SECTION F: Tax Declarations

We are required to ask you for certain information regarding your tax status. Please consult your financial or tax advisor if you are unclear on how to complete this section.

## Method of payment

All subscriptions must be made in pounds sterling.

Please make cheque payable to "Morgan Stanley Investment Management (ACD) Limited".

Bank transfer details:

Bank name: The Royal Bank of Scotland Plc

Bank address: London Corporate Banking Office  
PO Box 450  
4th Floor  
5-10 Great Tower Street  
London  
EC3P 3HX

Sort Code: 16-04-00

BIC: RBOSGB21375

IBAN: GB04RBOS16040020212790

Account name: Morgan Stanley Investment Management (ACD) Limited

Account No.: 20212790

Deal ref: Please quote the deal reference as stated on the contract note, which you will receive after your deal has been placed.

# Application Form

This application form is for an OEIC investment in Morgan Stanley Funds (UK).

Please return this form to: Morgan Stanley Investment Management, PO Box 9025, Chelmsford, Essex CM99 2WE

Customer Services: 0800 096 1962 Dealing: 0370 7070 073 (then click option 1) Fax 0330 123 3684

**Please complete this form in black ink using BLOCK CAPITALS and refer to the 'Important notes' section.**

## Section A: Registration details

Initial subscription  Subsequent subscription

(please tick as appropriate)

Existing account number (if applicable)

Client name

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Entity type (please tick as appropriate)

Pension Fund  Charity  Foundation  Other Institutional

If Pension Fund (please tick as appropriate)

Corporate Trustee  Individual Trustee

Reference/Registration number

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Address

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Postcode

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Contact name

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Telephone

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Fax

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Email

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## Section B: Investment details

Class I Shares minimum investment is £50,000, minimum subsequent investment is £2,500.

There is no minimum investment nor minimum subsequent investment for Class N Shares.

Fund name	Accumulation or Income shares	Share class	Amount
Global Brands Fund*	Acc		£
Global Brands Fund*	Inc		£
Global Brands Equity Income Fund	Acc		£
Global Brands Equity Income Fund	Inc		£
Sterling Corporate Bond Fund	Acc		£
Sterling Corporate Bond Fund	Inc		£
US Advantage Fund	Acc		£

\*With effect from 30 November 2012 you will not be able to purchase additional A Shares class of Global Brands Fund or to exchange your holdings in other sub-funds of the Morgan Stanley Funds (UK) for A Shares class in the Global Brands Fund.

## Section C: Declarations

**Please refer to the Declarations section in the accompanying Important Notes.**

We have read the Declarations as set out in the Important Notes. We hereby agree to the terms of those Declarations.

Authorised signatory (1)

Date

d	d	m	m	y	y	y	y
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Name (please print)

Capacity of authorised signatory

If you do not wish to receive information about other investment products and services of the Morgan Stanley Group, please tick this box.

Authorised signatory (2)

Date

d	d	m	m	y	y	y	y
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Name (please print)

Capacity of authorised signatory

If you do not wish to receive information about other investment products and services of the Morgan Stanley Group, please tick this box.

on behalf of (client name)

## Section D: Income Payment – Income Shares only

Only complete this section if you wish income to be paid out for the following funds: Sterling Corporate Bond. Income arising in respect of income shares will be automatically reinvested in the same Fund unless you complete this section.

Please insert details of the account to which these amounts should be credited

Bank/Building Society Name

Account Name

Address

Sort code

			-				-		
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Account number

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Postcode

Building Society roll number  
(if applicable)

## Section E: Declaration to be completed by the professional advisor/introducer

**For advisors acting in respect of UK Investors only [to be completed for subscriptions made after 28 December 2012]:**

Please indicate your FCA registration number: \_\_\_\_\_

We assume that you have provided investment advice in relation to this subscription and that it qualifies as "advised" business as per UK FCA RDR (COBS 6.1B) and as such you will be allocated the dealer code appropriate to this business model and no commission will be paid.

If you have not provided investment advice under UK FCA RDR (COBS 6.1B) in relation to this subscription please tick the box.



## Section F: Tax Status of Applicant (continued)

### Classification for CRS Purposes

#### Financial Institution (FI)

- Investment Entity with tax residence in non-participating jurisdiction and managed by another FI
- Other Investment Entity
- Financial Institution other than above Investment Entity (Depository Institution, Custodial Institution, Specified Insurance Company)
- Financial Institution Non Reporting according to your local jurisdiction legislation where you are resident (enter precise category below)

#### Non-Financial Entity (NFE)

- Active Non-Financial Entity – Corporation that is regularly traded or an affiliate of such corporation
- Active Non-Financial Entity – Governmental Entity or Central Bank
- Active Non-Financial Entity – International Organisation
- Active Non-Financial Entity other than above Active Non-Financial Entity classifications
- Passive Non-Financial Entity\*

#### \*Passive Non-Financial (foreign) Entities as indicated under FATCA or CRS classification above

If the Entity is a Passive Non-Financial (Foreign) Entity, please provide details below of any Controlling Persons (whose percentage of ownership is 25% or greater). The term Controlling Persons is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force.

Full Name	Date of Birth	Residence Address	Ownership	Tax Identification Number (TIN)
	/  /		%	
	/  /		%	
	/  /		%	
	/  /		%	
	/  /		%	

### Section 4: Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

Signed by (please print name):

On behalf of (Company Name):

Capacity in which you are signing:

Signature:

Date:



