

## Morgan Stanley Funds Individual Retirement Account (IRA) Transfer of Assets

**Notice:** This form is intended for Shareholders who own Morgan Stanley mutual fund shares directly with the fund. If you own shares of a Morgan Stanley mutual fund through a Financial Intermediary, including Morgan Stanley Wealth Management, please contact your Financial Intermediary for instructions on updating your account.

### Regular Mail

Morgan Stanley Funds  
P.O. Box 219804  
Kansas City, MO 64121-9804

### Overnight Mail

Morgan Stanley Funds  
801 Pennsylvania Ave, Suite 219804  
Kansas City, MO 64105-1307

### For assistance:

800-869-6397  
9:00 a.m. – 5:30 p.m. ET, Mon-Fri

**Important Instructions:** To use a fillable form in Windows Edge, please click on the three dots at the upper right of the browser and select "Open with Internet Explorer" which will make the fillable sections appear.

### Important Information About Procedures For Opening A New Account

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover conversions to a Roth IRA) from an existing retirement plan account to your IRA at Morgan Stanley Funds. Based on your instructions, the Custodian will initiate the transfer or rollover for you. If you are over age 72, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Please remember that a transfer of assets can only occur between the same types of retirement plans.

Incomplete information will result in delays in processing your request. If you need assistance completing this form, please contact Shareholder Services at 800-869-6397.

**This form will be provided to your current custodian along with notification of acceptance of your assets from Morgan Stanley. Please contact your current Custodian to understand their requirements for processing to avoid delays.**

Not to be used for Morgan Stanley brokerage accounts.

### Part 1. Participant Information (YOU MUST COMPLETE ALL ITEMS)

First Name	Middle Initial	Last Name
Daytime Phone Number		Evening Phone Number
Address		
City	State	ZIP
Social Security Number	Date of Birth	

### Part 2. Investment Instructions (COMPLETE ITEMS A, B, C, AND D)

- a. I am opening a new IRA and have attached the required IRA Application  
Deposit the proceeds into my existing IRA      Account Number: \_\_\_\_\_
- b. Type of account transferring into:
- |                           |              |                        |          |
|---------------------------|--------------|------------------------|----------|
| Traditional IRA           | Rollover IRA | SEP IRA                | Roth IRA |
| Beneficiary/Inherited IRA |              | Decedent's Name: _____ |          |

c. Invest as follows:

Fund Name	Fund Number	Allocation
_____	_____	\$ _____ or _____ %
_____	_____	\$ _____ or _____ %
_____	_____	\$ _____ or _____ %
_____	_____	\$ _____ or _____ %
_____	_____	\$ _____ or _____ %
_____	_____	\$ _____ or _____ %

d. Type of Request:

Transfer of Assets (like accounts)      Direct Rollover from a Qualified Plan to an IRA

Direct Rollover from a 403(b) or 457 to an IRA

SEP and SIMPLE (after the required two year holding period) IRAs can be transferred into a Traditional IRA

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### Part 3. Direct Rollover Notice

If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 3 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan. You may want to contact your current plan administrator or custodian to ensure that you have completed any documents they may require. If you are over age 72, please contact your current custodian regarding the required minimum distribution rules before initiating a direct rollover. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

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### Part 4. Current Custodian

**If you are Rolling Over a Qualified Plan, Please Contact Your Current Plan Administrator for Distribution/Rollover Form Requirements**

Please attach your most recent statement, if possible. To avoid delays, contact your current custodian to confirm their address and ask if they require a Medallion Signature Guarantee to process your transfer or rollover request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

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Name of Current Custodian

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Mailing Address

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City

State

ZIP

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Contact Name

Daytime Telephone Number

Part 5. Account Information & Instructions for Delivering Custodian

Transfer/Rollover Assets From:

Traditional/Rollover IRA	Roth IRA	Beneficiary IRA	Simple IRA	SEP-IRA
403(b)	457 Plan	Qualified Plan		

From Account Number: Investment:

Liquidate Entire Account Partial Dollar Amount \$ or # of Shares Transfer In-Kind

For Certificates of Deposit: Immediately\* At Maturity Date

From Account Number: Investment:

Liquidate Entire Account Partial Dollar Amount \$ or # of Shares Transfer In-Kind

For Certificates of Deposit: Immediately\* At Maturity Date

\* Note: If you wish to have certificates of deposit transferred immediately and they have not yet matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

Please make check payable to Morgan Stanley Funds.

Morgan Stanley Funds, P.O. Box 219804, Kansas City, MO 64121-9804

Part 6. Participant Authorization

I authorize the transfer of assets or direct rollover as noted above to my Morgan Stanley funds IRA and authorize my current custodian, Morgan Stanley funds and the Custodian to process this request on my behalf. I understand it is my responsibility to ensure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant’s Signature

Date

Please attach medallion here

**Medallion Signature Guarantee (if required)**

An eligible guarantor is a domestic bank or trust company, securities broker/firm, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

If you have any questions about this form, please call 800-869-6397 Monday through Friday, between 9:00 a.m. and 5:30 p.m. Eastern Time. Visit our website at MSIM.com.