

Morgan Stanley

主旨：本公司向主管機關申請證券商居家辦公已獲核准。

日期：西元二零二零年六月十一日

說明：台灣摩根士丹利證券股份有限公司（下稱「本公司」）為因應嚴重特殊傳染性肺炎（COVID-19）疫情，目前已向主管機關提交證券業務居家辦公應變計畫，並經主管機關於 2020 年 6 月 3 日核准在案。本公司居家辦公營運模式之相關內容包含保護客戶資訊、居家辦公管控措施均已呈報主管機關並獲核准，啟動居家辦公不影響本公司日常營運。

Subject: Morgan Stanley Taiwan Limited's Work-From-Home Plan has been approved by the FSC.

Date: June 11, 2020

In order to respond to the outbreak of COVID-19, Morgan Stanley Taiwan Limited (hereinafter the "Company") submitted its securities business Work-From-Home Plan to the FSC and has been approved on June 3, 2020. The Company's Work-From-Home Plan includes client information protection and monitoring control measures for work-from-home staff. These control measures have been reviewed and approved by the competent authority. Implementation of the Company's work-from-home plan has no impact on the Company's daily operation.