

FORM ADV BROCHURE SUPPLEMENT

12/10/20

ROBERT EUGENE GARCIA (CRD No: 4988217)

GROUP:	CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS:	2000 WESTCHESTER AVE PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL:	888-454-3965
CORPORATE ADDRESS:	MORGAN STANLEY SMITH BARNEY LLC 2000 WESTCHESTER AVE PURCHASE, NY 10577
CORPORATE TEL:	914-225-1000

This brochure supplement provides information about ROBERT EUGENE GARCIA that supplements the applicable Morgan Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure. Please contact your Financial Advisor or Private Wealth Advisor if you did not receive Morgan Stanley’s brochure or if you have any questions about the contents of this supplement.

Additional information about ROBERT EUGENE GARCIA is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at <https://brokercheck.finra.org/>.

* This brochure supplement is current as of the date stated above.

Educational Background and Business Experience

This section states the Investment Professional's formal education after high school, business background for the last five years and certain professional designations.

NAME: ROBERT EUGENE GARCIA (b. 1983)
EDUCATION: HOFSTRA UNIVERSITY (BACHELOR OF SCIENCE IN FINANCE)
BUSINESS BACKGROUND: 06/01/2009 – PRESENT, MORGAN STANLEY-EXECUTIVE DIRECTOR
(PAST FIVE YEARS)
PROFESSIONAL DESIGNATION: No professional designations to disclose

Disciplinary Information

This section describes certain legal or disciplinary events that may be material to your evaluation of the Investment Professional, generally within the last ten years (e.g., certain findings by regulators in administrative proceedings, customer disputes, and criminal charges). Investment Professionals are required to comply with all applicable rules and regulations. They are also subject to internal policies and procedures under which they commit to working with integrity and high ethical standards at Morgan Stanley. However, Investment Professionals may have been subject to legal or disciplinary events and certain types of these events are disclosed in this section.

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No material legal or disciplinary events to disclose.

Other Business Activities

This section provides information on businesses or occupations in which the Investment Professional is involved, including registrations and other business interests. It also describes certain types of compensation received by Investment Professionals.

Investment-Related Businesses

Morgan Stanley is a registered broker-dealer. The Investment Professional is a registered representative of Morgan Stanley (in its capacity as a broker-dealer). Morgan Stanley is also a registered investment adviser. Morgan Stanley is qualified to offer you not only investment advisory products and services through advisory accounts but also various other investment products and services through brokerage accounts.

Compensation for Investment Professionals

The Investment Professional may be eligible to receive incentive compensation above his or her base salary. Incentive compensation is discretionary, but is determined (in whole or in part) based on a review of the Investment Professional's individual performance relative to the value and performance of the business unit. Regardless of individual performance, Morgan Stanley management may award reduced incentive compensation or no incentive compensation at all if, for example, the Investment Professional did not meaningfully contribute to the bottom line result or market conditions dictate a reduction in incentive compensation. Incentive compensation may be paid in the form of cash or equity, consistent with various Morgan Stanley compensation programs.

Other Business Activities

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consider, among other things, whether the activity would create an actual or potential conflict of interest, whether the time and effort involved in the activity is likely to compromise the Investment Professional's ability to perform his or her job, and whether the activity will be viewed by customers or the public as part of the Investment Professional's job at Morgan Stanley.

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No additional business activities to disclose.

Additional Compensation

No additional compensation to disclose beyond compensation described in Other Business Activities above.

Supervision

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SUPERVISOR: PAUL RICCIARDELLI, MANAGING DIRECTOR

SUPERVISOR TEL: (800) 223-2440

FORM ADV BROCHURE SUPPLEMENT

12/10/20

CHRISTOPHER MICHAEL SCOTT-HANSEN (CRD No: 149777)

GROUP:	CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS:	2000 WESTCHESTER AVE PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL:	888-454-3965
CORPORATE ADDRESS:	MORGAN STANLEY SMITH BARNEY LLC 2000 WESTCHESTER AVE PURCHASE, NY 10577
CORPORATE TEL:	914-225-1000

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Additional information about CHRISTOPHER MICHAEL SCOTT-HANSEN is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at <https://brokercheck.finra.org/>.

Educational Background and Business Experience

This section states the Investment Professional's formal education after high school, business background for the last five years and certain professional designations.

NAME: CHRISTOPHER MICHAEL SCOTT-HANSEN (b. 1976)
EDUCATION: BRYANT UNIVERSITY (B.S. FINANCE)
BUSINESS BACKGROUND: 1997-PRESENT, MORGAN STANLEY, MANAGING DIRECTOR
(PAST FIVE YEARS)
PROFESSIONAL DESIGNATION: No professional designations to disclose

Disciplinary Information

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Other Business Activities

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Investment-Related Businesses

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No additional business activities to disclose.

Additional Compensation

No additional compensation to disclose beyond compensation described in Other Business Activities above.

Supervision

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SUPERVISOR: JIM TRACY, MANAGING DIRECTOR

SUPERVISOR TEL: (800) 223-2440

FORM ADV BROCHURE SUPPLEMENT

12/10/20

NICHOLAS FIRPO (CRD No: 5915261)

GROUP:	CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS:	2000 WESTCHESTER AVE PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL:	(888) 454-3965
CORPORATE ADDRESS:	MORGAN STANLEY SMITH BARNEY LLC 2000 WESTCHESTER AVE PURCHASE, NY 10577
CORPORATE TEL:	(914) 225-1000

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Additional information about NICHOLAS FIRPO is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at <https://brokercheck.finra.org/>.

Educational Background and Business Experience

This section states the Investment Professional's formal education after high school, business background for the last five years and certain professional designations.

NAME:	NICHOLAS FIRPO (b. 1989)
EDUCATION:	HOFSTRA UNIVERSITY (B.B.A., FINANCE)
BUSINESS BACKGROUND: (PAST FIVE YEARS)	2017 - PRESENT, MORGAN STANLEY, VICE PRESIDENT 2015 - 2017, STIFEL NICOLAUS & COMPANY, ASSOCIATE
PROFESSIONAL DESIGNATION:	No professional designations to disclose

Disciplinary Information

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No material legal or disciplinary events to disclose.

Other Business Activities

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Investment-Related Businesses

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Compensation for Investment Professionals

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Other Business Activities

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No additional business activities to disclose.

Additional Compensation

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Supervision

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SUPERVISOR: CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR

SUPERVISOR TEL: (800) 223-2440

FORM ADV BROCHURE SUPPLEMENT

12/10/20

MATTHEW FRANCIS MOHAN (CRD No: 4204852)

GROUP:	CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS:	2000 WESTCHESTER AVE PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL:	(888) 454-3965
CORPORATE ADDRESS:	MORGAN STANLEY SMITH BARNEY LLC 2000 WESTCHESTER AVE PURCHASE, NY 10577
CORPORATE TEL:	(914) 225-1000

This brochure supplement provides information about MATTHEW MOHAN that supplements the applicable Morgan Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure. Please contact your Financial Advisor or Private Wealth Advisor if you did not receive Morgan Stanley’s brochure or if you have any questions about the contents of this supplement.

Additional information about NICHOLAS FIRPO is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at <https://brokercheck.finra.org/>.

Educational Background and Business Experience

This section states the Investment Professional's formal education after high school, business background for the last five years and certain professional designations.

NAME: MATTHEW FRANCIS MOHAN
EDUCATION: FORDHAM UNIVERSITY (BACHELOR OF SCIENCE IN FINANCE)
BUSINESS BACKGROUND: 07/1998 – PRESENT, MORGAN STANLEY-EXECUTIVE DIRECTOR
(PAST FIVE YEARS)
PROFESSIONAL DESIGNATION: No professional designations to disclose.

Disciplinary Information

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No material legal or disciplinary events to disclose.

Other Business Activities

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Investment-Related Businesses

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No additional business activities to disclose.

Additional Compensation

No additional compensation to disclose beyond compensation described in Other Business Activities above.

Supervision

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SUPERVISOR: CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR

SUPERVISOR TEL: (800) 223-2440

FORM ADV BROCHURE SUPPLEMENT

08/19/21

CARLOS NARVAEZ (CRD No: **4824364**)

GROUP:	CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS:	2000 WESTCHESTER AVE PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL:	(888) 454-3965
CORPORATE ADDRESS:	MORGAN STANLEY SMITH BARNEY LLC 2000 WESTCHESTER AVE PURCHASE, NY 10577
CORPORATE TEL:	(914) 225-1000

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Educational Background and Business Experience

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NAME: CARLOS NARVAEZ (b. 1974)
EDUCATION: HOBART COLLEGE (B.A., POLITICAL SCIENCE)
BUSINESS BACKGROUND: 2021 - PRESENT, MORGAN STANLEY, EXECUTIVE DIRECTOR
(PAST FIVE YEARS) 2016 - 2021, MERRILL LYNCH, PIERCE, FENNER & SMITH INC.,
DIRECTOR
PROFESSIONAL DESIGNATION: CFA® CHARTERHOLDER

Disciplinary Information

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SUPERVISOR: CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR

SUPERVISOR TEL: (914) 225-1000

