FORM ADV BROCHURE SUPPLEMENT

12/10/20

ROBERT EUGENE GARCIA (CRD No: 4988217)

GROUP: CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS: 2000 WESTCHESTER AVE
PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL: 888-454-3965
CORPORATE ADDRESS: MORGAN STANLEY SMITH BARNEY LLC
2000 WESTCHESTER AVE
PURCHASE, NY 10577
CORPORATE TEL: 914-225-1000

This brochure supplement provides information about ROBERT EUGENE GARCIA that supplements the applicable Morgan Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure. Please contact your Financial Advisor or Private Wealth Advisor if you did not receive Morgan Stanley’s brochure or if you have any questions about the contents of this supplement.

Additional information about ROBERT EUGENE GARCIA is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at https://brokercheck.finra.org/.

* This brochure supplement is current as of the date stated above.
Educational Background and Business Experience
This section states the Investment Professional’s formal education after high school, business background for the last five years and certain professional designations.

NAME: ROBERT EUGENE GARCIA (b. 1983)
EDUCATION: HOFSTRA UNIVERSITY (BACHELOR OF SCIENCE IN FINANCE)
BUSINESS BACKGROUND: 06/01/2009 – PRESENT, MORGAN STANLEY-EXECUTIVE DIRECTOR (PAST FIVE YEARS)
PROFESSIONAL DESIGNATION: No professional designations to disclose

Disciplinary Information
This section describes certain legal or disciplinary events that may be material to your evaluation of the Investment Professional, generally within the last ten years (e.g., certain findings by regulators in administrative proceedings, customer disputes, and criminal charges). Investment Professionals are required to comply with all applicable rules and regulations. They are also subject to internal policies and procedures under which they commit to working with integrity and high ethical standards at Morgan Stanley. However, Investment Professionals may have been subject to legal or disciplinary events and certain types of these events are disclosed in this section.

These disclosures are generally based on entries in the Financial Industry Regulatory Authority’s (“FINRA”) Central Registration Depository (“CRD”), which are in turn based on filings made by Morgan Stanley or others. Before reaching a conclusion regarding any of the information in this section, you should ask the Investment Professional or his or her supervisor (see Supervision below) to clarify the specific event(s) listed, or to provide a response to any questions you may have. You may also call 888-454-3965 for more information.

Disclosure details may be reported by more than one source (e.g., regulator, employer or Investment Professional). When this occurs, all versions of the event appear in this brochure supplement. Also, some of the specific data fields in these disclosures may be blank if the information was not provided to the CRD.

No material legal or disciplinary events to disclose.

Other Business Activities
This section provides information on businesses or occupations in which the Investment Professional is involved, including registrations and other business interests. It also describes certain types of compensation received by Investment Professionals.

Investment-Related Businesses
Morgan Stanley is a registered broker-dealer. The Investment Professional is a registered representative of Morgan Stanley (in its capacity as a broker-dealer). Morgan Stanley is also a registered investment adviser. Morgan Stanley is qualified to offer you not only investment advisory products and services through advisory accounts but also various other investment products and services through brokerage accounts.

Compensation for Investment Professionals
The Investment Professional may be eligible to receive incentive compensation above his or her base salary. Incentive compensation is discretionary, but is determined (in whole or in part) based on a review of the Investment Professional’s individual performance relative to the value and performance of the business unit. Regardless of individual performance, Morgan Stanley management may award reduced incentive compensation or no incentive compensation at all if, for example, the Investment Professional did not meaningfully contribute to the bottom line result or market conditions dictate a reduction in incentive compensation. Incentive compensation may be paid in the form of cash or equity, consistent with various Morgan Stanley compensation programs.

Other Business Activities
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consider, among other things, whether the activity would create an actual or potential conflict of interest, whether the time and effort involved in the activity is likely to compromise the Investment Professional’s ability to perform his or her job, and whether the activity will be viewed by customers or the public as part of the Investment Professional’s job at Morgan Stanley.

These disclosures are generally based on entries in FINRA’s CRD, which are in turn based on filings made by Morgan Stanley or others. Some of these disclosures may relate to activities in which the Investment Professional no longer engages.

No additional business activities to disclose.

**Additional Compensation**

No additional compensation to disclose beyond compensation described in Other Business Activities above.

**Supervision**

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Each Investment Professional reports to a supervisor who has supervisory responsibility for the Investment Professional’s work. The Consulting Group Investment Committee (of which the Investment Professional is a member) makes decisions by a vote of its members. The Committee’s investment decisions are then implemented in client accounts. In the Select UMA program (Firm Discretion), the Consulting Group (a Morgan Stanley business unit) reviews performance and dispersion of accounts to check whether accounts are invested in accordance with the Committee’s decisions. Investment Professionals are also subject to oversight by a dedicated risk committee. Investment Professionals’ investment decisions are subject to pre-trade and post-trade automated compliance checking by independent implementation groups to ensure that they comply with client mandates. Investment Professionals are also subject to a rolling periodic review by sampling client accounts and conducting a number of checks including asset allocation, portfolio investments, performance, turnover and concentration.

Your Financial Advisor or Private Wealth Advisor and his or her supervisor are responsible for ensuring that particular investment advice given to you is suitable for you. Please see your Financial Advisor’s or Private Wealth Advisor’s brochure supplement for more details.

SUPERVISOR: PAUL RICCIARDELLI, MANAGING DIRECTOR

SUPERVISOR TEL: (800) 223-2440
CHRISTOPHER MICHAEL SCOTT-HANSEN (CRD No: 149777)

GROUP: CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS: 2000 WESTCHESTER AVE
PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL: 888-454-3965
CORPORATE ADDRESS: MORGAN STANLEY SMITH BARNEY LLC
2000 WESTCHESTER AVE
PURCHASE, NY 10577
CORPORATE TEL: 914-225-1000

This brochure supplement provides information about CHRISTOPHER MICHAEL SCOTT-HANSEN that supplements the applicable Morgan Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure. Please contact your Financial Advisor or Private Wealth Advisor if you did not receive Morgan Stanley’s brochure or if you have any questions about the contents of this supplement.

Additional information about CHRISTOPHER MICHAEL SCOTT-HANSEN is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at https://brokercheck.finra.org/.
**Educational Background and Business Experience**

This section states the Investment Professional’s formal education after high school, business background for the last five years and certain professional designations.

**NAME:** CHRISTOPHER MICHAEL SCOTT-HANSEN (b. 1976)

**EDUCATION:** BRYANT UNIVERSITY (B.S. FINANCE)

**BUSINESS BACKGROUND:** 1997-PRESENT, MORGAN STANLEY, MANAGING DIRECTOR (PAST FIVE YEARS)

**PROFESSIONAL DESIGNATION:** No professional designations to disclose

**Disciplinary Information**

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No material legal or disciplinary events to disclose.

**Other Business Activities**

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**Investment-Related Businesses**

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**Compensation for Investment Professionals**

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**Other Business Activities**

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These disclosures are generally based on entries in FINRA’s CRD, which are in turn based on filings made by Morgan Stanley or others. Some of these disclosures may relate to activities in which the Investment Professional no longer engages.

No additional business activities to disclose.

**Additional Compensation**

No additional compensation to disclose beyond compensation described in Other Business Activities above.

**Supervision**

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**SUPERVISOR:** JIM TRACY, MANAGING DIRECTOR

**SUPERVISOR TEL:** (800) 223-2440
This brochure supplement provides information about NICHOLAS FIRPO that supplements the applicable Morgan Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure. Please contact your Financial Advisor or Private Wealth Advisor if you did not receive Morgan Stanley’s brochure or if you have any questions about the contents of this supplement.

Additional information about NICHOLAS FIRPO is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at https://brokercheck.finra.org/.
Educational Background and Business Experience

This section states the Investment Professional’s formal education after high school, business background for the last five years and certain professional designations.

NAME: NICHOLAS FIRPO (b. 1989)
EDUCATION: HOFSTRA UNIVERSITY (B.B.A., FINANCE)
BUSINESS BACKGROUND: 2017 - PRESENT, MORGAN STANLEY, VICE PRESIDENT
(PAST FIVE YEARS) 2015 - 2017, STIFEL NICOLAUS & COMPANY, ASSOCIATE
PROFESSIONAL DESIGNATION: No professional designations to disclose

Disciplinary Information

This section describes certain legal or disciplinary events that may be material to your evaluation of the Investment Professional, generally within the last ten years (e.g., certain findings by regulators in administrative proceedings, customer disputes, and criminal charges). Investment Professionals are required to comply with all applicable rules and regulations. They are also subject to internal policies and procedures under which they commit to working with integrity and high ethical standards at Morgan Stanley. However, Investment Professionals may have been subject to legal or disciplinary events and certain types of these events are disclosed in this section.

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No material legal or disciplinary events to disclose.

Other Business Activities

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Investment-Related Businesses

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Compensation for Investment Professionals

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Other Business Activities

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These disclosures are generally based on entries in FINRA’s CRD, which are in turn based on filings made by Morgan Stanley or others. Some of these disclosures may relate to activities in which the Investment Professional no longer engages.

No additional business activities to disclose.

Additional Compensation
No additional compensation to disclose beyond compensation described in Other Business Activities above.

Supervision
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SUPERVISOR: CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR
SUPERVISOR TEL: (800) 223-2440
This brochure supplement provides information about MATTHEW MOHAN that supplements the applicable Morgan
Stanley Smith Barney LLC (“Morgan Stanley”) ADV brochure. You should have received a copy of that brochure.
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you have any questions about the contents of this supplement.

Additional information about NICHOLAS FIRPO is available on the SEC’s website at www.adviserinfo.sec.gov and on
the BrokerCheck website at https://brokercheck.finra.org/.
Educational Background and Business Experience
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NAME: MATTHEW FRANCIS MOHAN
EDUCATION: FORDHAM UNIVERSITY (BACHELOR OF SCIENCE IN FINANCE)
BUSINESS BACKGROUND: 07/1998 – PRESENT, MORGAN STANLEY-EXECUTIVE DIRECTOR (PAST FIVE YEARS)
PROFESSIONAL DESIGNATION: No professional designations to disclose.

Disciplinary Information
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job, and whether the activity will be viewed by customers or the public as part of the Investment Professional’s job at
Morgan Stanley.

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Stanley or others. Some of these disclosures may relate to activities in which the Investment Professional no longer
engages.

No additional business activities to disclose.

**Additional Compensation**

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**Supervision**

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Professionals’ investment decisions are subject to pre-trade and post-trade automated compliance checking by
independent implementation groups to ensure that they comply with client mandates. Investment Professionals are also
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investment advice given to you is suitable for you. Please see your Financial Advisor’s or Private Wealth Advisor’s
brochure supplement for more details.

**SUPERVISOR:**

**CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR**

**SUPERVISOR TEL:**

(800) 223-2440
FORM ADV BROCHURE SUPPLEMENT

08/19/21

CARLOS NARVAEZ (CRD No: 4824364)

GROUP: CONSULTING GROUP INVESTMENT COMMITTEE
ADDRESS: 2000 WESTCHESTER AVE
          PURCHASE, NY 10577-2530
INVESTMENT PROFESSIONAL TEL: (888) 454-3965
CORPORATE ADDRESS: MORGAN STANLEY SMITH BARNEY LLC
                    2000 WESTCHESTER AVE
                    PURCHASE, NY 10577
CORPORATE TEL: (914) 225-1000

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Additional information about CARLOS NARVAEZ is available on the SEC’s website at www.adviserinfo.sec.gov and on the BrokerCheck website at https://brokercheck.finra.org/.
Educational Background and Business Experience
This section states the Investment Professional’s formal education after high school, business background for the last five years and certain professional designations.

NAME: CARLOS NARVAEZ (b. 1974)

EDUCATION: HOBART COLLEGE (B.A., POLITICAL SCIENCE)

BUSINESS BACKGROUND: 
(PAST FIVE YEARS)
2021 - PRESENT, MORGAN STANLEY, EXECUTIVE DIRECTOR
2016 - 2021, MERRILL LYNCH, PIERCE, FENNER & SMITH INC., DIRECTOR

PROFESSIONAL DESIGNATION: CFA® CHARTERHOLDER

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Additional Compensation
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SUPERVISOR: CHRISTOPHER MICHAEL SCOTT-HANSEN, MANAGING DIRECTOR
SUPERVISOR TEL: (914) 225-1000