

# Smith Group Asset Management, LLC

CRD # 313265

100 Crescent Court Suite 1150  
Dallas, TX 75201

214.880.4600

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## Summary of Material Changes

This Brochure provides information about the qualifications and business practices of Smith Group Asset Management, LLC (“Smith Group”). If you have any questions about the contents of this Brochure, please contact us at 214.880.4600. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Smith Group is a registered investment adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of Smith Group provide you with information about which you determine to hire or retain Smith Group.

Additional information about Smith Group is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Item 2 – Material Changes

Walter Karle replaced Luci Neumann as Chief Compliance Officer of Smith Group Asset Management, LLC. Mr. Karle also serves as Chief Compliance Officer of Cantor Fitzgerald investment Advisors, L.P., the parent company of Smith Group Asset Management, LLC.

Updated Privacy Policy.