

RICE HALL JAMES & ASSOCIATES, LLC
ADV PART 2A – SUMMARY OF MATERIAL CHANGES

March 29, 2023

Rice Hall James & Associates, LLC (“Rice Hall James”) has made updates to its ADV Part 2A in accordance with annual requirements. Changes were made as follows:

Item 4 – “Advisory Business” – updated to reflect the Firm’s assets under management amount as of December 31, 2022.

Item 5 – “Fees and Other Compensation” – updated to add disclosure that the Firm does not prorate advisory fees for account additions and withdrawals, unless specifically requested by a client.

Item 8 – “Methods of Analysis, Investment Strategies” – updated market capitalization amounts for the Small Cap and Micro Cap Equity strategies, and the SMID Cap, Small Cap, and Micro Cap Opportunities strategies.

Item 12 – “Brokerage Practices” – updated the list of research products received under our soft dollar arrangements.

Item 13 – “Review of Accounts” – updated the information on how Rice Hall James performs account and trade reconciliations.

Item 14 – “Client Referrals and Other Compensation” – updated to reflect that Rice Hall James does not compensate any third-parties for client referrals.

Additional information about Rice Hall James and its investment adviser representatives is available on the SEC’s website at www.adviserinfo.sec.gov.

Other non-material updates were made within this Brochure, so we urge prospective and current clients to read the document in its entirety. Rice Hall James’ previously updated ADV Part 2A is dated September 1, 2022. Pursuant to SEC Rules, Rice Hall James will ensure that clients receive a summary of any material changes to this Brochure within 120 days of the close of our fiscal year, along with a copy of this Brochure or an offer to provide the Brochure. Additionally, as we experience material changes in the future, we will send a summary of our “Material Changes” under separate cover, along with an offer to provide the Brochure. For more information about our firm, please visit www.ricehalljames.com. Additional information about Rice Hall James and its investment adviser representatives is available on the SEC’s website at www.adviserinfo.sec.gov.