

### **Important Compliance Information – Please Read**

Anchor Capital has no material changes to disclose.

We would like to take this opportunity to inform or remind you that our Form ADV Part 2A Disclosure Brochure, Part 2B Supplemental Brochure, Proxy Voting Policy and Privacy Notice can be found on our website, [www.anchorcapital.com](http://www.anchorcapital.com). In addition, you should be aware that all associates employed by Anchor Capital are required to be thoroughly familiar with required standards of conduct for all company employees (and in certain cases) their immediate family members. A copy of our Code of Ethics is also available upon request.

**Form ADV Part 2A Disclosure Brochure:** We have recently updated our Form ADV Part 2A Disclosure Brochure. It contains information about many routine areas of our business including how we manage money, our fees, how commissions are allocated, etc. Should you wish to receive a copy, please contact Dymphna Hurley at ([dhurley@anchorcapital.com](mailto:dhurley@anchorcapital.com)).

**Form ADV Part 2B Supplemental Brochure:** The Supplemental Brochure provides information about supervised persons who are responsible for providing investment advice and client management. Should you wish to receive a copy, please contact Dymphna Hurley at ([dhurley@anchorcapital.com](mailto:dhurley@anchorcapital.com)).

**Proxy Voting Policy:** Our Proxy Voting Policy was developed and adopted in 2004 in compliance with Securities and Exchange Commission (SEC) guidelines. We believe you will find this self-explanatory; however, if you have questions, do not hesitate to call us at 1-800-967-2627.

**Privacy Notice:** All new clients receive a copy of our Privacy Notice with our Form ADV Part 2A Disclosure Brochure. Our Privacy Notice is below and also can be found on our website.

**Anchor Capital Advisors LLC**  
**Guide to Services and Compensation**  
**For ERISA Plan Fiduciaries**

The following is a guide to important information that you should consider in connection with the advisory or, if applicable, through the sub-advisory services to be provided by Anchor Capital Advisors LLC to your retirement plan. This is being presented to you in accordance with the U. S. Department of Labor Disclosure Regulations under Section 408(b)(2) of ERISA. The table below provides each of the required disclosures followed to the right by where you may find the disclosures in documents provided to you.

Should you have any questions concerning this guide or the information provided to you concerning our services or compensation please do not hesitate to contact us at 617-338-3800 or [info@anchorcapital.com](mailto:info@anchorcapital.com)

	<i><b>Required Information</b></i>	<i><b>Location(s)</b></i>
1	Description of Services Provided by Anchor Capital	Please see Form ADV 2A, <u>Item 4: Advisory Business</u>
2	Statement that Anchor Capital provides services as a fiduciary under ERISA.	Anchor Capital Advisors LLC provides services as a registered investment advisor under the Investment Advisors Act of 1940 and as a Fiduciary under ERISA for discretionary investment management accounts.
3	Management fees (“direct compensation”) that Anchor Capital will receive.	Please see Form ADV 2A, <u>Item 5: Fees and Compensation.</u>
4	Compensation that Anchor Capital will receive from other unrelated parties (“indirect compensation”)	Please see Form ADV 2A, <u>Item 5: Fees and Compensation &amp; Item 12: Brokerage Practices.</u>
5	Commissions, 12b-1 fees or other transaction based compensation paid among Anchor Capital and other related parties	N/A
6	Compensation to be paid to Anchor or refunded to the plan in the event of termination	Please see ADV 2A, <u>Item 5: Fees and Compensation</u>
7	The cost to your Plan of recordkeeping services.	N/A
8	Fees and Expenses relating to your Plan’s investment options.	Anchor Capital has invested your account in Exchange Traded Funds (ETFs). An ETF has an expense ratio. Please see the ETF prospectus for more information.  Anchor Capital has invested your account in Mutual Funds. A Mutual Fund has annual expenses to cover the fund's operating costs, including management fees, distribution and service fees. Please see the Mutual Fund prospectus for more information.