SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC

as of 11/30/13

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance				
A. Cash			$ 550,830,067	7010
B. Securities (at market)			34,663,469	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			(12,795,702)	7030
3. Exchange traded options				
A. Add market value of open option contracts purchased on a contract market			27,199,453	7032
B. Deduct market value of open option contracts granted (sold) on a contract market			(22,820,137)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)			577,077,150	7040
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	2,144,089	7045		
Less: amount offset by customer owned securities	(39,076)	7047	2,105,013	7050
6. Amount required to be segregated (add lines 4 and 5)			$ 579,182,163	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash	25,976,085	7070
B. Securities representing investments of customers' funds (at market)		7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	34,663,469	7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	$	7100
B. Securities representing investments of customers' funds (at market)		7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		7130
10. Exchange traded options		
A. Value of open long option contracts		7132
B. Value of open short option contracts	( )	7133
11. Net equities with other FCMs		
A. Net liquidating equity	595,338,650	7140
B. Securities representing investments of customers' funds (at market)		7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		7170
12. Segregated funds on hand (describe:)		7150
13. Total amount in segregation (add lines 7 through 12)	655,978,204	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 76,796,041	7190

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 11/30/13

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$	7200
2. Funds in segregated accounts				
A. Cash	$	7210		
B. Securities (at market)		7220		
C. Total				7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$	7240

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER	
MORGAN STANLEY SMITH BARNEY LLC	as of 11/30/13

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS - SUMMARY

I. Check the appropriate box to identify the amount shown on line 1. below.

[ ]	7300	Secured amounts in only U.S. - domiciled customers' accounts
[X]	7310	Secured amounts in U.S. and foreign - domiciled customers' accounts
[ ]	7320	Net liquidating equities in all accounts of customers trading on foreign boards of trade
[ ]	7330	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

II. Has the FCM changed the method of calculating the amount to be set aside in separate accounts since the last financial report it filed ?

[ ]	Yes	7340	If yes, explain the change below
[X]	No	7350	

1. Amount to be set aside in separate section 30.7 accounts	$	57,597,325	7360
2. Total funds in separate section 30.7 accounts (page T10-4, line 8)		79,536,414	7370
3. Excess (deficiency) - (subtract line 1 from line 2)	$	21,939,089	7380

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 11/30/13

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 16,035,748 [7500]	
B. Other banks designated by the Commission			
Name(s):	[7510]	3,084,947 [7520]	$ 19,120,695 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 120,000 [7540]	
B. In safekeeping with other banks designated by the Commission			
Name(s):	[7550]	[7560]	120,000 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 60,295,739 [7580]	
B. Securities		[7590]	
C. Unrealized gain (loss) on open futures contracts		[7600]	
D. Value of long option contracts		[7610]	
E. Value of short option contracts		(20) [7615]	60,295,719 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	[7630]		
A. Cash		$ [7640]	
B. Securities		[7650]	
C. Amount due to (from) clearing organizations - daily variation		[7660]	
D. Value of long option contracts		[7670]	
E. Value of short option contracts		( ) [7675]	[7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	[7690]		
A. Cash		$ [7700]	
B. Securities		[7710]	
C. Unrealized gain (loss) on open futures contracts		[7720]	
D. Value of long option contracts		[7730]	
E. Value of short option contracts		( ) [7735]	[7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	[7750]		[7760]
7. Segregated funds on hand (describe:)			[7765]
8. Total funds in separate section 30.7 accounts (to page T10-3 line 2)			$ 79,536,414 [7770]

A. If any securities shown are other than the types of securities referred to in CFTC Regulation 1.25, attach a separate schedule detailing the obligations shown on each such line.

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 11/30/13

STATEMENT OF SEQUESTRATION REQUIREMENTS AND
FUNDS IN CLEARED OTC DERIVATIVES SEQUESTERED ACCOUNTS

CLEARED OTC DERIVATIVES CUSTOMER REQUIREMENTS

1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)				8510
2. Net unrealized profit (loss) in open cleared OTC derivatives				8520
3. Cleared OTC derivatives options				
A. Market value of open cleared OTC derivatives option contracts purchased				8530
B. Market value of open cleared OTC derivatives option contracts granted (sold)			( )	8540
4. Net equity (deficit) (add lines 1, 2 and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	$	8560		
Less: amount offset by customer owned securities	( )	8570		8580
6. Amount required to be sequestered for cleared OTC derivatives customers (add lines 4 and 5)			$	8590

FUNDS IN CLEARED OTC DERIVATIVES CUSTOMER SEQUESTERED ACCOUNTS

7. Deposited in cleared OTC derivatives customer sequestered accounts at banks		
A. Cash	$	8600
B. Securities representing investment of customers' funds (at market)		8610
C. Securities held for particular customers or option customers in lieu of cash (at market)		8620
8. Margins on deposit with derivatives clearing organizations in cleared OTC derivatives customer sequestered accounts		
A. Cash		8630
B. Securities representing investment of customers' funds (at market)		8640
C. Securities held for particular customers or option customers in lieu of cash (at market)		8650
9. Net settlement from (to) derivatives clearing organizations		8660
10. Cleared OTC derivatives options		
A. Value of open cleared OTC derivatives long option contracts		8670
B. Value of open cleared OTC derivatives short option contracts	( )	8680
11. Net equities with other FCMs		
A. Net liquidating equity		8690
B. Securities representing investment of customers' funds (at market)		8700
C. Securities held for particular customers or option customers in lieu of cash (at market)		8710
12. Cleared OTC derivatives customer funds on hand		8715
13. Total amount in sequestration (add lines 7 through 12)	$	8720
14. Excess (deficiency) funds in sequestration (subtract line 6 from line 13)	$	8730

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	12/31/13

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance				
A. Cash			$ 526,358,874	7010
B. Securities (at market)			32,968,688	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			(27,475,648)	7030
3. Exchange traded options				
A. Add market value of open option contracts purchased on a contract market			24,325,619	7032
B. Deduct market value of open option contracts granted (sold) on a contract market			(17,117,156)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)			539,060,377	7040
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	2,747,841	7045		
Less: amount offset by customer owned securities	(62,187)	7047	2,685,654	7050
6. Amount required to be segregated (add lines 4 and 5)			$ 541,746,031	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash	14,981,053	7070
B. Securities representing investments of customers' funds (at market)		7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	32,968,688	7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	$	7100
B. Securities representing investments of customers' funds (at market)		7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		7130
10. Exchange traded options		
A. Value of open long option contracts		7132
B. Value of open short option contracts	( )	7133
11. Net equities with other FCMs		
A. Net liquidating equity	555,697,432	7140
B. Securities representing investments of customers' funds (at market)		7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		7170
12. Segregated funds on hand (describe:)		7150
13. Total amount in segregation (add lines 7 through 12)	603,647,173	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 61,901,142	7190

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	12/31/13

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$	7200
2. Funds in segregated accounts				
A. Cash	$	7210		
B. Securities (at market)		7220		
C. Total				7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$	7240

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC — as of 12/31/13

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS - SUMMARY

I. Check the appropriate box to identify the amount shown on line 1. below.

[ ]	7300	Secured amounts in only U.S. - domiciled customers' accounts
[X]	7310	Secured amounts in U.S. and foreign - domiciled customers' accounts
[ ]	7320	Net liquidating equities in all accounts of customers trading on foreign boards of trade
[ ]	7330	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder.

II. Has the FCM changed the method of calculating the amount to be set aside in separate accounts since the last financial report it filed ?

[ ]	Yes	7340	If yes, explain the change below
[X]	No	7350	

1. Amount to be set aside in separate section 30.7 accounts	$	59,854,329	7360
2. Total funds in separate section 30.7 accounts (page T10-4, line 8)		80,610,763	7370
3. Excess (deficiency) - (subtract line 1 from line 2)	$	20,756,434	7380

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 12/31/13

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks						
A. Banks located in the United States		$ 15,486,605	7500			
B. Other banks designated by the Commission						
Name(s):	7510	2,004,853	7520	$ 17,491,458	7530
2. Securities						
A. In safekeeping with banks located in the United States		$ 119,984	7540			
B. In safekeeping with other banks designated by the Commission						
Name(s):	7550		7560	119,984	7570
3. Equities with registered futures commission merchants						
A. Cash		$ 62,999,321	7580			
B. Securities			7590			
C. Unrealized gain (loss) on open futures contracts			7600			
D. Value of long option contracts			7610			
E. Value of short option contracts		( )	7615	62,999,321	7620
4. Amounts held by clearing organizations of foreign boards of trade						
Name(s):	7630					
A. Cash		$	7640			
B. Securities			7650			
C. Amount due to (from) clearing organizations - daily variation			7660			
D. Value of long option contracts			7670			
E. Value of short option contracts		( )	7675		7680
5. Amounts held by members of foreign boards of trade						
Name(s):	7690					
A. Cash		$	7700			
B. Securities			7710			
C. Unrealized gain (loss) on open futures contracts			7720			
D. Value of long option contracts			7730			
E. Value of short option contracts		( )	7735		7740
6. Amounts with other depositories designated by a foreign board of trade						
Name(s):	7750				7760
7. Segregated funds on hand (describe:)					7765
8. Total funds in separate section 30.7 accounts (to page T10-3 line 2)				$ 80,610,763	7770

A. If any securities shown are other than the types of securities referred to in CFTC Regulation 1.25, attach a separate schedule detailing the obligations shown on each such line.

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 12/31/13

STATEMENT OF SEQUESTRATION REQUIREMENTS AND FUNDS IN CLEARED OTC DERIVATIVES SEQUESTERED ACCOUNTS

CLEARED OTC DERIVATIVES CUSTOMER REQUIREMENTS				
1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)				8510
2. Net unrealized profit (loss) in open cleared OTC derivatives				8520
3. Cleared OTC derivatives options				
A. Market value of open cleared OTC derivatives option contracts purchased				8530
B. Market value of open cleared OTC derivatives option contracts granted (sold)			( )	8540
4. Net equity (deficit) (add lines 1, 2 and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	$	8560		
Less: amount offset by customer owned securities	( )	8570		8580
6. Amount required to be sequestered for cleared OTC derivatives customers (add lines 4 and 5)			$	8590
FUNDS IN CLEARED OTC DERIVATIVES CUSTOMER SEQUESTERED ACCOUNTS				
7. Deposited in cleared OTC derivatives customer sequestered accounts at banks				
A. Cash			$	8600
B. Securities representing investment of customers' funds (at market)				8610
C. Securities held for particular customers or option customers in lieu of cash (at market)				8620
8. Margins on deposit with derivatives clearing organizations in cleared OTC derivatives customer sequestered accounts				
A. Cash				8630
B. Securities representing investment of customers' funds (at market)				8640
C. Securities held for particular customers or option customers in lieu of cash (at market)				8650
9. Net settlement from (to) derivatives clearing organizations				8660
10. Cleared OTC derivatives options				
A. Value of open cleared OTC derivatives long option contracts				8670
B. Value of open cleared OTC derivatives short option contracts			( )	8680
11. Net equities with other FCMs				
A. Net liquidating equity				8690
B. Securities representing investment of customers' funds (at market)				8700
C. Securities held for particular customers or option customers in lieu of cash (at market)				8710
12. Cleared OTC derivatives customer funds on hand				8715
13. Total amount in sequestration (add lines 7 through 12)			$	8720
14. Excess (deficiency) funds in sequestration (subtract line 6 from line 13)			$	8730

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SUPPLEMENT TO
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BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC — as of 01/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)				
1. Net ledger balance				
A. Cash			$ 507,250,410	7010
B. Securities (at market)			34,398,875	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			8,626,173	7030
3. Exchange traded options				
A. Add market value of open option contracts purchased on a contract market			36,212,575	7032
B. Deduct market value of open option contracts granted (sold) on a contract market			(25,036,131)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)			561,451,902	7040
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	2,146,148	7045		
Less: amount offset by customer owned securities	(9,127)	7047	2,137,021	7050
6. Amount required to be segregated (add lines 4 and 5)			$ 563,588,923	7060
FUNDS IN SEGREGATED ACCOUNTS				
7. Deposited in segregated funds bank accounts				
A. Cash			22,099,180	7070
B. Securities representing investments of customers' funds (at market)				7080
C. Securities held for particular customers or option customers in lieu of cash (at market)			34,398,875	7090
8. Margins on deposit with derivatives clearing organizations of contract markets				
A. Cash			$	7100
B. Securities representing investments of customers' funds (at market)				7110
C. Securities held for particular customers or option customers in lieu of cash (at market)				7120
9. Net settlement from (to) derivatives clearing organizations of contract markets				7130
10. Exchange traded options				
A. Value of open long option contracts				7132
B. Value of open short option contracts			( )	7133
11. Net equities with other FCMs				
A. Net liquidating equity			582,137,369	7140
B. Securities representing investments of customers' funds (at market)				7160
C. Securities held for particular customers or option customers in lieu of cash (at market)				7170
12. Segregated funds on hand (describe:)				7150
13. Total amount in segregation (add lines 7 through 12)			638,635,424	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$ 75,046,501	7190
15. Management Target Amount for Excess funds in segregation			$ 45,087,114	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$ 29,959,387	7198

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MORGAN STANLEY SMITH BARNEY LLC

as of 01/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$	7200
2. Funds in segregated accounts				
A. Cash	$	7210		
B. Securities (at market)		7220		
C. Total				7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$	7240

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC

as of 01/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 57,758,482	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 62,557,367	7315
B. Securities (at market)			119,992	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			(4,990,421)	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade				7335
B. Market value of open contracts granted (sold) on a foreign board of trade				7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 57,686,938	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$ 78,178	7351		
Less: amount offset by customer owned securities	(6,634)	7352	71,544	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 57,758,482	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 57,758,482	7360

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BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC — as of 01/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 21,583,985 [7500]	
B. Other banks qualified under Regulation 30.7			
Name(s):	[7510]	6,962,173 [7520]	$ 28,546,158 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 119,992 [7540]	
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	[7550]	[7560]	119,992 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 50,496,100 [7580]	
B. Securities		[7590]	
C. Unrealized gain (loss) on open futures contracts		[7600]	
D. Value of long option contracts		[7610]	
E. Value of short option contracts		( ) [7615]	50,496,100 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	[7630]		
A. Cash		$ [7640]	
B. Securities		[7650]	
C. Amount due to (from) clearing organizations - daily variation		[7660]	
D. Value of long option contracts		[7670]	
E. Value of short option contracts		( ) [7675]	[7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	[7690]		
A. Cash		$ [7700]	
B. Securities		[7710]	
C. Unrealized gain (loss) on open futures contracts		[7720]	
D. Value of long option contracts		[7730]	
E. Value of short option contracts		( ) [7735]	[7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	[7750]		[7760]
7. Segregated funds on hand (describe:)			[7765]
8. Total funds in separate section 30.7 accounts			$ 79,162,250 [7770]
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			$ 21,403,768 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts			$ 17,327,545 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			$ 4,076,223 [7785]

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SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER	
MORGAN STANLEY SMITH BARNEY LLC	as of 01/31/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)				8510
2. Net unrealized profit (loss) in open cleared swaps				8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased				8530
B. Market value of open cleared swaps option contracts granted (sold)			( )	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$	8560		
Less: amount offset by customer owned securities	( )	8570		8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	$	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash		8630
B. Securities representing investments of cleared swaps customers' funds (at market)		8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8650
9. Net settlement from (to) derivatives clearing organizations		8660
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts		8670
B. Value of open cleared swaps short option contracts	( )	8680
11. Net equities with other FCMs		
A. Net liquidating equity		8690
B. Securities representing investments of cleared swaps customers' funds (at market)		8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8710
12. Cleared swaps customer funds on hand (describe: ______)		8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	$	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	$	8770

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 02/28/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)					
1. Net ledger balance					
A. Cash			$	504,835,462	7010
B. Securities (at market)				32,814,365	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market				11,359,344	7030
3. Exchange traded options					
A. Add market value of open option contracts purchased on a contract market				69,511,197	7032
B. Deduct market value of open option contracts granted (sold) on a contract market				(48,877,483)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)				569,642,885	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	2,630,075	7045			
Less: amount offset by customer owned securities	(0)	7047		2,630,075	7050
6. Amount required to be segregated (add lines 4 and 5)			$	572,272,960	7060
FUNDS IN SEGREGATED ACCOUNTS					
7. Deposited in segregated funds bank accounts					
A. Cash				18,840,785	7070
B. Securities representing investments of customers' funds (at market)				0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)				32,814,365	7090
8. Margins on deposit with derivatives clearing organizations of contract markets					
A. Cash			$	0	7100
B. Securities representing investments of customers' funds (at market)				0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets				0	7130
10. Exchange traded options					
A. Value of open long option contracts				0	7132
B. Value of open short option contracts				(0	7133
11. Net equities with other FCMs					
A. Net liquidating equity				586,917,983	7140
B. Securities representing investments of customers' funds (at market)				0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7170
12. Segregated funds on hand (describe:)				0	7150
13. Total amount in segregation (add lines 7 through 12)				638,573,133	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$	66,300,173	7190
15. Management Target Amount for Excess funds in segregation			$	45,781,837	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$	20,518,336	7198

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 02/28/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	7200
2. Funds in segregated accounts				
A. Cash	$ 0	7210		
B. Securities (at market)	0	7220		
C. Total			0	7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$ 0	7240

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC as of 02/28/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 50,153,278	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 44,704,675	7315
B. Securities (at market)			119,999	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			5,316,193	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 50,140,867	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$ 12,412	7351		
Less: amount offset by customer owned securities	(0)	7352	12,412	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 50,153,279	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 50,153,279	7360

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SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 02/28/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		$ 5,257,619	7500		
B. Other banks qualified under Regulation 30.7					
Name(s): 0	7510	7,361,525	7520	$ 12,619,144	7530
2. Securities					
A. In safekeeping with banks located in the United States		$ 119,999	7540		
B. In safekeeping with other banks qualified under Regulation 30.7					
Name(s): 0	7550	0	7560	119,999	7570
3. Equities with registered futures commission merchants					
A. Cash		$ 54,164,573	7580		
B. Securities		0	7590		
C. Unrealized gain (loss) on open futures contracts		0	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		(0)	7615	54,164,573	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): 0	7630				
A. Cash		$ 0	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organizations - daily variation		0	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		(0)	7675	0	7680
5. Amounts held by members of foreign boards of trade					
Name(s): 0	7690				
A. Cash		$ 0	7700		
B. Securities		0	7710		
C. Unrealized gain (loss) on open futures contracts		0	7720		
D. Value of long option contracts		0	7730		
E. Value of short option contracts		(0)	7735	0	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): 0	7750			0	7760
7. Segregated funds on hand (describe:)				0	7765
8. Total funds in separate section 30.7 accounts				$ 66,903,716	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)				$ 16,750,437	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts				$ 15,045,984	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target				$ 1,704,453	7785

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BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC as of 02/28/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements				
1. Net ledger balance				
A. Cash			$ 0	8500
B. Securities (at market)			0	8510
2. Net unrealized profit (loss) in open cleared swaps			0	8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased			0	8530
B. Market value of open cleared swaps option contracts granted (sold)			(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$ 0	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 0	8560		
Less: amount offset by customer owned securities	(0)	8570	0	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$ 0	8590
Funds in Cleared Swaps Customer Segregated Accounts				
7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash			$ 0	8600
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash			0	8630
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8650
9. Net settlement from (to) derivatives clearing organizations			0	8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts			0	8670
B. Value of open cleared swaps short option contracts			(0)	8680
11. Net equities with other FCMs				
A. Net liquidating equity			0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8710
12. Cleared swaps customer funds on hand (describe: ______)			0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			$ 0	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			$ 0	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts			$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess			$ 0	8770

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PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 03/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)					
1. Net ledger balance					
A. Cash			$	503,656,320	7010
B. Securities (at market)				37,895,792	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market				(7,121,774)	7030
3. Exchange traded options					
A. Add market value of open option contracts purchased on a contract market				61,314,891	7032
B. Deduct market value of open option contracts granted (sold) on a contract market				(53,018,083)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)				542,727,146	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	2,925,637	7045			
Less: amount offset by customer owned securities	(576,812)	7047		2,348,825	7050
6. Amount required to be segregated (add lines 4 and 5)			$	545,075,971	7060
FUNDS IN SEGREGATED ACCOUNTS					
7. Deposited in segregated funds bank accounts					
A. Cash				5,809,429	7070
B. Securities representing investments of customers' funds (at market)				0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)				37,895,792	7090
8. Margins on deposit with derivatives clearing organizations of contract markets					
A. Cash			$	0	7100
B. Securities representing investments of customers' funds (at market)				0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets				0	7130
10. Exchange traded options					
A. Value of open long option contracts				0	7132
B. Value of open short option contracts				(0)	7133
11. Net equities with other FCMs					
A. Net liquidating equity				565,376,132	7140
B. Securities representing investments of customers' funds (at market)				0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7170
12. Segregated funds on hand (describe:)				0	7150
13. Total amount in segregation (add lines 7 through 12)				609,081,353	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$	64,005,382	7190
15. Management Target Amount for Excess funds in segregation			$	43,606,078	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$	20,399,304	7198

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BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 03/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	7200
2. Funds in segregated accounts				
A. Cash	$ 0	7210		
B. Securities (at market)	0	7220		
C. Total			0	7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$ 0	7240

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SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
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BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC

as of 03/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 47,020,580	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 33,707,366	7315
B. Securities (at market)			149,997	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			13,151,357	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			(581)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 47,008,139	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 12,440	7351		
Less: amount offset by customer owned securities	(0)	7352	12,440	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 47,020,579	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 47,020,580	7360

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SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
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BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 03/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 7,913,593 [7500]	
B. Other banks qualified under Regulation 30.7			
Name(s):	0 [7510]	6,955,455 [7520]	$ 14,869,048 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 149,997 [7540]	
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0 [7550]	0 [7560]	149,997 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 49,118,094 [7580]	
B. Securities		0 [7590]	
C. Unrealized gain (loss) on open futures contracts		0 [7600]	
D. Value of long option contracts		0 [7610]	
E. Value of short option contracts		(581) [7615]	49,117,513 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0 [7630]		
A. Cash		$ 0 [7640]	
B. Securities		0 [7650]	
C. Amount due to (from) clearing organizations - daily variation		0 [7660]	
D. Value of long option contracts		0 [7670]	
E. Value of short option contracts		(0) [7675]	0 [7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	0 [7690]		
A. Cash		$ 0 [7700]	
B. Securities		0 [7710]	
C. Unrealized gain (loss) on open futures contracts		0 [7720]	
D. Value of long option contracts		0 [7730]	
E. Value of short option contracts		(0) [7735]	0 [7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0 [7750]		0 [7760]
7. Segregated funds on hand (describe:)			0 [7765]
8. Total funds in separate section 30.7 accounts			$ 64,136,558 [7770]
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			$ 17,115,978 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts			$ 14,106,174 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			$ 3,009,804 [7785]

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
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BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC as of 03/31/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements				
1. Net ledger balance				
A. Cash			$ 0	8500
B. Securities (at market)			0	8510
2. Net unrealized profit (loss) in open cleared swaps			0	8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased			0	8530
B. Market value of open cleared swaps option contracts granted (sold)			(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$ 0	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 0	8560		
Less: amount offset by customer owned securities	(0)	8570	0	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$ 0	8590
Funds in Cleared Swaps Customer Segregated Accounts				
7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash			$ 0	8600
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash			0	8630
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8650
9. Net settlement from (to) derivatives clearing organizations			0	8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts			0	8670
B. Value of open cleared swaps short option contracts			(0)	8680
11. Net equities with other FCMs				
A. Net liquidating equity			0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8710
12. Cleared swaps customer funds on hand (describe: ______)			0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			$ 0	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			$ 0	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts			$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess			$ 0	8770

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 04/30/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)					
1. Net ledger balance					
A. Cash			$	491,544,472	7010
B. Securities (at market)				40,517,129	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market				14,971,623	7030
3. Exchange traded options					
A. Add market value of open option contracts purchased on a contract market				57,530,378	7032
B. Deduct market value of open option contracts granted (sold) on a contract market				(53,100,594)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)				551,463,008	7040
5. Accounts liquidating to a deficit and accounts with debit balances					
- gross amount	2,522,678	7045			
Less: amount offset by customer owned securities	(325,903)	7047		2,196,775	7050
6. Amount required to be segregated (add lines 4 and 5)			$	553,659,783	7060
FUNDS IN SEGREGATED ACCOUNTS					
7. Deposited in segregated funds bank accounts					
A. Cash				16,218,089	7070
B. Securities representing investments of customers' funds (at market)				0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)				40,517,129	7090
8. Margins on deposit with derivatives clearing organizations of contract markets					
A. Cash			$	0	7100
B. Securities representing investments of customers' funds (at market)				0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets				0	7130
10. Exchange traded options					
A. Value of open long option contracts				0	7132
B. Value of open short option contracts				(0)	7133
11. Net equities with other FCMs					
A. Net liquidating equity				565,870,401	7140
B. Securities representing investments of customers' funds (at market)				0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7170
12. Segregated funds on hand (describe:)				0	7150
13. Total amount in segregation (add lines 7 through 12)				622,605,619	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$	68,945,836	7190
15. Management Target Amount for Excess funds in segregation			$	44,292,783	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$	24,653,053	7198

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 04/30/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6		$ 0 [7200]
2. Funds in segregated accounts		
A. Cash	$ 0 [7210]	
B. Securities (at market)	0 [7220]	
C. Total		0 [7230]
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)		$ 0 [7240]

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
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BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	04/30/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 47,446,782	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 32,183,258	7315
B. Securities (at market)			0	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			15,263,524	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 47,446,782	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$ 0	7351		
Less: amount offset by customer owned securities	(0)	7352	0	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 47,446,782	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 47,446,782	7360

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 04/30/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 5,243,237 [7500]	
B. Other banks qualified under Regulation 30.7			
Name(s):	0 [7510]	8,731,593 [7520]	$ 13,974,830 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 0 [7540]	
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0 [7550]	0 [7560]	0 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 49,823,744 [7580]	
B. Securities		0 [7590]	
C. Unrealized gain (loss) on open futures contracts		0 [7600]	
D. Value of long option contracts		0 [7610]	
E. Value of short option contracts		(0) [7615]	49,823,744 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0 [7630]		
A. Cash		$ 0 [7640]	
B. Securities		0 [7650]	
C. Amount due to (from) clearing organizations - daily variation		0 [7660]	
D. Value of long option contracts		0 [7670]	
E. Value of short option contracts		(0) [7675]	0 [7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	0 [7690]		
A. Cash		$ 0 [7700]	
B. Securities		0 [7710]	
C. Unrealized gain (loss) on open futures contracts		0 [7720]	
D. Value of long option contracts		0 [7730]	
E. Value of short option contracts		(0) [7735]	0 [7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0 [7750]		0 [7760]
7. Segregated funds on hand (describe:)			0 [7765]
8. Total funds in separate section 30.7 accounts			$ 63,798,574 [7770]
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			$ 16,351,792 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts			$ 14,234,035 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			$ 2,117,757 [7785]

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
MORGAN STANLEY SMITH BARNEY LLC

as of 04/30/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)				8510
2. Net unrealized profit (loss) in open cleared swaps				8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased				8530
B. Market value of open cleared swaps option contracts granted (sold)			( )	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$	8560		
Less: amount offset by customer owned securities	( )	8570		8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	$	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash		8630
B. Securities representing investments of cleared swaps customers' funds (at market)		8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8650
9. Net settlement from (to) derivatives clearing organizations		8660
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts		8670
B. Value of open cleared swaps short option contracts	( )	8680
11. Net equities with other FCMs		
A. Net liquidating equity		8690
B. Securities representing investments of cleared swaps customers' funds (at market)		8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		8710
12. Cleared swaps customer funds on hand (describe: ________________)		8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	$	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	$	8770

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 04/30/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)					
1. Net ledger balance					
A. Cash			$	491,544,472	7010
B. Securities (at market)				40,517,129	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market				14,971,623	7030
3. Exchange traded options					
A. Add market value of open option contracts purchased on a contract market				57,530,378	7032
B. Deduct market value of open option contracts granted (sold) on a contract market				(53,100,594)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)				551,463,008	7040
5. Accounts liquidating to a deficit and accounts with debit balances					
- gross amount	2,522,678	7045			
Less: amount offset by customer owned securities	(325,903)	7047		2,196,775	7050
6. Amount required to be segregated (add lines 4 and 5)			$	553,659,783	7060
FUNDS IN SEGREGATED ACCOUNTS					
7. Deposited in segregated funds bank accounts					
A. Cash				16,218,089	7070
B. Securities representing investments of customers' funds (at market)				0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)				40,517,129	7090
8. Margins on deposit with derivatives clearing organizations of contract markets					
A. Cash			$	0	7100
B. Securities representing investments of customers' funds (at market)				0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets				0	7130
10. Exchange traded options					
A. Value of open long option contracts				0	7132
B. Value of open short option contracts				(0	7133
11. Net equities with other FCMs					
A. Net liquidating equity				565,870,401	7140
B. Securities representing investments of customers' funds (at market)				0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)				0	7170
12. Segregated funds on hand (describe:)				0	7150
13. Total amount in segregation (add lines 7 through 12)				622,605,619	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$	68,945,836	7190
15. Management Target Amount for Excess funds in segregation			$	44,292,783	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$	24,653,053	7198

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 04/30/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	7200
2. Funds in segregated accounts				
A. Cash	$ 0	7210		
B. Securities (at market)	0	7220		
C. Total			0	7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$ 0	7240

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	04/30/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 47,446,782	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 32,183,258	7315
B. Securities (at market)			0	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			15,263,524	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 47,446,782	7345
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$ 0	7351		
Less: amount offset by customer owned securities	(0)	7352	0	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 47,446,782	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 47,446,782	7360

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER MORGAN STANLEY SMITH BARNEY LLC	as of 04/30/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 5,243,237 [7500]	
B. Other banks qualified under Regulation 30.7			
Name(s):	0 [7510]	8,731,593 [7520]	$ 13,974,830 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 0 [7540]	
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0 [7550]	0 [7560]	0 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 49,823,744 [7580]	
B. Securities		0 [7590]	
C. Unrealized gain (loss) on open futures contracts		0 [7600]	
D. Value of long option contracts		0 [7610]	
E. Value of short option contracts		(0) [7615]	49,823,744 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0 [7630]		
A. Cash		$ 0 [7640]	
B. Securities		0 [7650]	
C. Amount due to (from) clearing organizations - daily variation		0 [7660]	
D. Value of long option contracts		0 [7670]	
E. Value of short option contracts		(0) [7675]	0 [7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	0 [7690]		
A. Cash		$ 0 [7700]	
B. Securities		0 [7710]	
C. Unrealized gain (loss) on open futures contracts		0 [7720]	
D. Value of long option contracts		0 [7730]	
E. Value of short option contracts		(0) [7735]	0 [7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0 [7750]		0 [7760]
7. Segregated funds on hand (describe:)			0 [7765]
8. Total funds in separate section 30.7 accounts			$ 63,798,574 [7770]
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			$ 16,351,792 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts			$ 14,234,035 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			$ 2,117,757 [7785]

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER	
MORGAN STANLEY SMITH BARNEY LLC	as of 04/30/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements					
1. Net ledger balance					
A. Cash			$		8500
B. Securities (at market)					8510
2. Net unrealized profit (loss) in open cleared swaps					8520
3. Cleared swaps options					
A. Market value of open cleared swaps option contracts purchased					8530
B. Market value of open cleared swaps option contracts granted (sold)			(	)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$		8550
5. Accounts liquidating to a deficit and accounts with					
debit balances - gross amount	$	8560			
Less: amount offset by customer owned securities	( )	8570			8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$		8590
Funds in Cleared Swaps Customer Segregated Accounts					
7. Deposited in cleared swaps customer segregated accounts at banks					
A. Cash			$		8600
B. Securities representing investments of cleared swaps customers' funds (at market)					8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)					8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts					
A. Cash					8630
B. Securities representing investments of cleared swaps customers' funds (at market)					8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)					8650
9. Net settlement from (to) derivatives clearing organizations					8660
10. Cleared swaps options					
A. Value of open cleared swaps long option contracts					8670
B. Value of open cleared swaps short option contracts			(	)	8680
11. Net equities with other FCMs					
A. Net liquidating equity					8690
B. Securities representing investments of cleared swaps customers' funds (at market)					8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)					8710
12. Cleared swaps customer funds on hand (describe:)					8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			$		8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			$		8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts			$		8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess			$		8770

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	05/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)				
1. Net ledger balance				
A. Cash			$ 481,523,630	7010
B. Securities (at market)			41,572,670	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			28,546,872	7030
3. Exchange traded options				
A. Add market value of open option contracts purchased on a contract market			44,575,699	7032
B. Deduct market value of open option contracts granted (sold) on a contract market			(40,582,570)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)			555,636,301	7040
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	3,474,740	7045		
Less: amount offset by customer owned securities	(1,387,443)	7047	2,087,297	7050
6. Amount required to be segregated (add lines 4 and 5)			$ 557,723,598	7060
FUNDS IN SEGREGATED ACCOUNTS				
7. Deposited in segregated funds bank accounts				
A. Cash			12,363,035	7070
B. Securities representing investments of customers' funds (at market)			0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)			41,572,670	7090
8. Margins on deposit with derivatives clearing organizations of contract markets				
A. Cash			$ 0	7100
B. Securities representing investments of customers' funds (at market)			0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets			0	7130
10. Exchange traded options				
A. Value of open long option contracts			0	7132
B. Value of open short option contracts			(0)	7133
11. Net equities with other FCMs				
A. Net liquidating equity			571,686,176	7140
B. Securities representing investments of customers' funds (at market)			0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7170
12. Segregated funds on hand (describe:)			0	7150
13. Total amount in segregation (add lines 7 through 12)			625,621,881	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			$ 67,898,283	7190
15. Management Target Amount for Excess funds in segregation			$ 44,617,888	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			$ 23,280,395	7198

BROKER OR DEALER

MORGAN STANLEY SMITH BARNEY LLC

as of 05/31/14

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance with Commission regulation 32.6			$ 0	7200
2. Funds in segregated accounts				
A. Cash	$ 0	7210		
B. Securities (at market)	0	7220		
C. Total			0	7230
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)			$ 0	7240

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	05/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$ 35,566,738	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers				
A. Cash			$ 34,511,271	7315
B. Securities (at market)			99,989	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			935,756	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)			$ 35,547,016	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$ 19,722	7351		
Less: amount offset by customer owned securities	(0)	7352	19,722	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$ 35,566,738	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$ 35,566,738	7360

SUPPLEMENT TO

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER	
MORGAN STANLEY SMITH BARNEY LLC	as of 05/31/14

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		$ 9,522,257 [7500]	
B. Other banks qualified under Regulation 30.7			
Name(s):	0 [7510]	8,614,350 [7520]	$ 18,136,607 [7530]
2. Securities			
A. In safekeeping with banks located in the United States		$ 99,989 [7540]	
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0 [7550]	0 [7560]	99,989 [7570]
3. Equities with registered futures commission merchants			
A. Cash		$ 30,838,025 [7580]	
B. Securities		0 [7590]	
C. Unrealized gain (loss) on open futures contracts		0 [7600]	
D. Value of long option contracts		0 [7610]	
E. Value of short option contracts		(0) [7615]	30,838,025 [7620]
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0 [7630]		
A. Cash		$ 0 [7640]	
B. Securities		0 [7650]	
C. Amount due to (from) clearing organizations - daily variation		0 [7660]	
D. Value of long option contracts		0 [7670]	
E. Value of short option contracts		(0) [7675]	0 [7680]
5. Amounts held by members of foreign boards of trade			
Name(s):	0 [7690]		
A. Cash		$ 0 [7700]	
B. Securities		0 [7710]	
C. Unrealized gain (loss) on open futures contracts		0 [7720]	
D. Value of long option contracts		0 [7730]	
E. Value of short option contracts		(0) [7735]	0 [7740]
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0 [7750]		0 [7760]
7. Segregated funds on hand (describe:)			0 [7765]
8. Total funds in separate section 30.7 accounts			$ 49,074,621 [7770]
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			$ 13,507,883 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts			$ 10,670,021 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			$ 2,837,862 [7785]

SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER		
MORGAN STANLEY SMITH BARNEY LLC	as of	05/31/14

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements				
1. Net ledger balance				
A. Cash			$	8500
B. Securities (at market)				8510
2. Net unrealized profit (loss) in open cleared swaps				8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased				8530
B. Market value of open cleared swaps option contracts granted (sold)			( )	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			$	8550
5. Accounts liquidating to a deficit and accounts with				
debit balances - gross amount	$	8560		
Less: amount offset by customer owned securities	( )	8570		8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			$	8590
Funds in Cleared Swaps Customer Segregated Accounts				
7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash			$	8600
B. Securities representing investments of cleared swaps customers' funds (at market)				8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash				8630
B. Securities representing investments of cleared swaps customers' funds (at market)				8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8650
9. Net settlement from (to) derivatives clearing organizations				8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts				8670
B. Value of open cleared swaps short option contracts			( )	8680
11. Net equities with other FCMs				
A. Net liquidating equity				8690
B. Securities representing investments of cleared swaps customers' funds (at market)				8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)				8710
12. Cleared swaps customer funds on hand (describe: ______)				8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			$	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts			$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess			$	8770