

# Morgan Stanley

## FORM ADV BROCHURE SUPPLEMENT

May 5, 2022

Tom Williams (CRD #: 4773501)

Group:	Outsourced Chief Investment Office (OCIO) Investment Committee
Address:	2000 Westchester Avenue Purchase, NY 10577-2530
Investment Professional Tel:	(800) 223-2440
Corporate Address:	Morgan Stanley Smith Barney LLC 2000 Westchester Avenue Purchase, NY 10577-2530
Corporate Tel:	(914) 225-1000

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Additional information about Tom Williams is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### Educational Background and Business Experience

This section states the Investment Professional's formal education after high school, business background for the last five years and certain professional designations.

Name:	Tom Williams (b. 1969)
Education:	B.A. in Economics from Trinity College-Hartford; JD in Law from USC Gould School of Law.
Business Background (Past 5 Years):	06/2020 - Present, Managing Director and Head of Institutional Portfolio Solutions and Outsourced Chief Investment Office (OCIO) within the Global Investment Office 01/2020 - 06/2020, CIO and Managing Member of BlueGreen Capital Management 08/2014 - 12/2019, CIO and lead Portfolio Manager of the Pine Grove funds within Man Group FRM (\$17 billion hedge fund of funds division)
Professional Designations:	Chartered Financial Analyst (CFA) Charterholder.

### Disciplinary Information

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No material legal or disciplinary events to disclose.

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#### Investment-Related Businesses

Morgan Stanley is a registered broker-dealer. The Investment Professional is a registered representative of Morgan Stanley (in its capacity as a broker-dealer). Morgan Stanley is also a registered investment adviser. Morgan Stanley is qualified to offer you not only investment advisory products and services through advisory accounts, but also various other investment products and services through brokerage accounts.

#### Compensation for Investment Professionals

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No additional business activities to disclose.

#### Additional Compensation

No additional compensation to disclose.

### Supervision

This section describes how Morgan Stanley monitors the work conducted by the Investment Professional and identifies the person supervising the Investment Professional's activities.

Each Investment Professional reports to a supervisor who has supervisory responsibility for the Investment Professional's work. The Investment Solutions Committee (of which the Investment Professional is a member) makes decisions by a vote of its members. The Committee's investment decisions are then implemented in client accounts. In the Select UMA program (Firm Discretion), the Consulting Group (a Morgan Stanley business unit) reviews performance and dispersion of accounts to check whether accounts are invested in accordance with the Committee's decisions. For Outsourced Chief Investment Office (OCIO) accounts, for which the Committee makes investment decisions, the client's Financial Advisor or Private Wealth Advisor regularly reviews the client's account with the client. In the TRAK CGCM program, the fund board oversees investment activities.

Your Financial Advisor or Private Wealth Advisor and his or her supervisor are responsible for ensuring that particular investment advice given to you is suitable for you. Please see your Financial Advisor's or Private Wealth Advisor's brochure supplement for more details.

For Morgan Stanley Access Investing, our proprietary algorithms and analytics recommend a suitable investment strategy based upon information the client provided and monitor client accounts for drift from a strategy's recommended asset allocation to determine when it is appropriate to rebalance.

Supervisor:	Lisa Shalett
Supervisor Tel:	+1 (212) 296-0335

# Morgan Stanley

## FORM ADV BROCHURE SUPPLEMENT

May 5, 2022

### SUZANNE ELLEN LINDQUIST (CRD #: 2277322)

Group:	Outsourced Chief Investment Office (OCIO) Investment Committee
Address:	2000 Westchester Avenue Purchase, NY 10577-2530
Investment Professional Tel:	(800) 223-2440
Corporate Address:	Morgan Stanley Smith Barney LLC 2000 Westchester Avenue Purchase, NY 10577-2530
Corporate Tel:	(914) 225-1000

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Additional information about SUZANNE E LINDQUIST is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

#### Educational Background and Business Experience

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Name:	SUZANNE E LINDQUIST (b. 1963)
Education:	UNIVERSITY OF MARYLAND (BACHELOR OF SCIENCE)
Business Background (Past 5 Years):	2014 – PRESENT, MORGAN STANLEY WEALTH MANAGEMENT
Professional Designations:	N/A

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No material legal or disciplinary events to disclose.

#### Other Business Activities

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No additional business activities to disclose.

##### Additional Compensation

No additional compensation to disclose.

#### Supervision

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Supervisor:	Tom Williams
Supervisor Tel:	+1 (212) 296-6960

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# Morgan Stanley

**Brian Mulley (CRD #: 4165876)**

Group:	Outsourced Chief Investment Office (OCIO) Investment Committee
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## Educational Background and Business Experience

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Name:	Brian Mulley (b. 1977)
Education:	B.S. in Finance from University of Massachusetts Lowell.
Business Background (Past 5 Years):	11/2005 – Present, Executive Director of OCIO, UHNW & Family Office Segment Head.
Professional Designations:	N/A

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Supervisor Tel:	+1 (212) 296-6960

## FORM ADV BROCHURE SUPPLEMENT

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**KEVIN KOPCZYNSKI (CRD #: 2050158)**

Group:	Outsourced Chief Investment Office (OCIO) Investment Committee
Address:	2000 Westchester Avenue Purchase, NY 10577-2530

# Morgan Stanley

Investment Professional Tel:	(800) 223-2440
Corporate Address:	Morgan Stanley Smith Barney LLC 2000 Westchester Avenue Purchase, NY 10577-2530
Corporate Tel:	(914) 225-1000

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Additional information about KEVIN E KOPCZYNSKI is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Educational Background and Business Experience

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Name:	KEVIN KOPCZYNSKI (b. 1965)
Education:	Haverford College (Bachelor of Arts)
Business Background (Past 5 Years):	06/01/2009 – PRESENT, MORGAN STANLEY SMITH BARNEY, EXEC DIR, PORTFOLIO MANAGEMENT
Professional Designations:	<b>Chartered Financial Analyst® or CFA®</b> The CFA® designation is conferred by the CFA Institute to individuals who demonstrate knowledge of certain investment principles and meet professional conduct requirements. To receive authorization to use the designation, a candidate must meet the following criteria: (1) hold an undergraduate degree (or equivalent, as assessed by the CFA Institute); (2) have four years of acceptable professional work experience involving the investment decision-making process, supervising persons who practice such activities, or teach such activities; (3) study for and successfully complete three exams that test the academic portion of the CFA® program; and (4) pay an annual membership fee. Exam areas of focus include principles and concepts that apply to investment analysis, valuation and portfolio management. Candidates seeking a CFA® designation must also become a member of the CFA Institute, agree to the CFA Institute's Code of Ethics and Standards governing a candidate's professional conduct on an annual basis, and apply for membership to a local CFA® member society.

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Zachary Apoian (CRD #: 5108226)

Group:	Outsourced Chief Investment Office Investment Committee
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Investment Professional Tel:	(800) 223-2440
Corporate Address:	Morgan Stanley Smith Barney LLC 2000 Westchester Avenue Purchase, NY 10577-2530
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# Morgan Stanley

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## Educational Background and Business Experience

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Name:	Zachary Apoian (b. 1979)
Education:	B.S. in Mathematics, Physics, and Management Science from Massachusetts Institute of Technology (MIT).
Business Background (Past 5 Years):	05/2014 – Present, Executive Director of OCIO & Head of Portfolio Implementation.
Professional Designations:	Chartered Financial Analyst (CFA) Charterholder & Chartered Alternative Investment Analyst (CAIA) Charterholder.

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