

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
January 2016 - December 2016

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Jan-16	11,619,408,249	10,333,159,733	8,591,288,212
Feb-16	11,435,091,682	10,082,289,444	8,240,673,163
Mar-16	11,460,458,799	10,410,805,314	8,483,362,034
Apr-16	11,987,270,891	10,906,187,328	9,087,760,668
May-16	11,645,554,880	10,652,891,960	8,772,326,561
Jun-16	11,338,394,771	10,352,963,753	8,396,784,811
Jul-16	11,361,929,601	10,270,285,071	8,280,927,130
Aug-16	11,829,008,154	10,754,873,609	8,765,805,112
Sep-16	11,343,851,307	10,169,664,697	8,092,540,601
Oct-16	10,675,057,773	9,610,370,878	7,506,419,779
Nov-16	11,390,755,451	10,176,616,063	7,834,355,249
Dec-16	11,399,302,101	10,311,103,109	8,034,277,621