

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
November 2014 - October 2015

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Nov-14	13,444,292,991	8,978,220,176	7,314,184,219
Dec-14 **As Amended	10,639,984,803	6,592,650,791	4,927,490,787
Jan-15 **As Amended	10,461,245,626	5,745,630,517	4,132,012,199
Feb-15	10,808,758,721	6,228,189,392	4,499,069,841
Mar-15	8,207,745,988	6,529,599,949	4,701,313,983
Apr-15	8,493,480,953	6,810,794,557	4,916,734,710
May-15	10,416,288,515	8,699,431,557	6,823,451,186
Jun-15	10,479,656,813	9,083,639,691	7,291,791,008
Jul-15	10,455,234,649	8,916,600,151	7,103,443,546
Aug-15	10,258,702,703	8,711,152,536	6,898,598,254
Sep-15	11,048,448,460	9,532,979,438	7,784,426,292
Oct-15	11,073,305,762	9,679,209,769	7,863,818,414