

Morgan Stanley & CO. LLC
CFTC 1.55 (o)(1)(iv)
July 2013-June 2014

	<u>Tentative</u> <u>Net Capital</u>	<u>Net Capital</u>	<u>Excess</u> <u>Net Capital</u>
Jul-13	13,667,972,625	7,880,418,820	6,395,881,295
Aug-13	13,409,270,592	8,067,131,104	6,561,340,043
Sep-13	13,172,718,919	7,221,900,056	5,691,173,970
Oct-13	13,658,640,416	8,140,442,891	6,621,551,408
Nov-13	13,290,306,931	7,618,094,088	6,029,781,025
Dec-13	12,017,900,224	7,200,944,670	5,626,971,582
Jan-14	13,013,298,719	8,255,158,338	6,731,462,363
Feb-14	13,251,185,881	8,434,144,733	6,872,800,675
Mar-14	13,565,874,460	9,275,407,073	7,636,061,753
Apr-14	13,831,305,139	9,816,523,078	8,305,239,885
May-14	13,927,414,419	9,611,145,083	8,043,141,039
Jun-14	13,409,475,751	9,108,251,818	7,463,297,866