

5 Declaration & Authority

Applicable to Direct Investment Applicants only

I declare I am 18 years of age or over and that I am not a resident, nor am I acting on behalf of, a resident of the United States; and that I will not assist any person who is so resident to acquire shares in Sienna Investment Company Limited. Further I agree to inform you immediately should I become a resident of the United States.

Applicable to all ISA Applicants

I declare that:

1. All subscriptions made, and to be made, belong to me;
2. I am 18 years of age or over;
3. If I am applying for a Mini Stocks and Shares ISA; I have not subscribed and will not subscribe to a Maxi ISA or another Mini Stocks and Shares ISA in the same year that I subscribe to this Mini Stocks and Shares ISA. If I am applying for a Maxi ISA, I have not subscribed and will not subscribe to another ISA, other than a TESSA-only ISA, in the same year that I subscribe to this ISA;
4. I am resident and ordinarily resident in the UK for tax purposes, or non-resident but performing duties which by virtue of section 132(4)(a) of the Income and Corporation Taxes Act 1988 are treated as being performed in the UK, or I am married to a person who performs such duties and that I will immediately inform Morgan Stanley & Co. International Limited if I cease to be so resident and ordinarily resident or to perform such duties, or be married to a person who performs such duties.

For all Applicants

I authorise Morgan Stanley & Co. International Limited:

1. To hold my cash subscription, Direct investments, ISA investments, (as applicable), interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds;
2. To make on my behalf any claims to relief from tax in respect of ISA investments;
3. On my request to transfer or pay to me, as the case may be, Direct investments, ISA investments, interest, dividends, rights or other proceeds in respect of such investments, any cash or other proceeds and;
4. To supply an annual statement.

I have read and understood the Morgan Stanley Income & Growth SmartPlan brochure containing the Key Features and Terms & Conditions and I understand that the Prospectus on which the shares of the company are issued is available on request. I acknowledge and agree to the terms under which my investments will be managed. I understand that

Morgan Stanley does not provide investment advice in relation to the Plan and confirm that I either do not require such advice or have received advice on the Plan from an Independent Financial Adviser. I declare that this application form has been completed to the best of my knowledge and belief.

Signature	X
Date	

Joint holder if applicable (Direct Investment only):

Signature	X
Date	

Investors should be aware that the value of investments and the income from them can fall as well as rise, and that past performance is not necessarily a guide to the future performance.

Notes: When completed this application form should be returned to the David Aaron Partnership in the FREEPOST envelope provided.

MONEY LAUNDERING REGULATIONS 1993: Under the regulations, there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity. This will normally be a passport or similar form of identity check together with proof of address from a gas bill, electricity bill or similar.

Morgan Stanley & Co. International Limited will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future.

If you would prefer not to receive such information please mark this box.

The interim value of the underlying assets of the Plan do not directly impact the benefits generated. Hence, the periodic statement shall be issued on an annual basis and not more frequently.

Issued by Morgan Stanley & Co. International Limited, which is regulated by the Financial Services Authority.

OFFICE USE ONLY	Client ref:		Checked by	
	Deal ref:			

This form allows you to make up to two transfers. Should you require further copies of the mandates below to facilitate additional transfers you may photocopy this form.

I confirm that I wish to transfer a PEP Single Company PEP Maxi ISA Mini ISA (Please tick one only)

Please complete in block capitals

1 Personal Details

Title : (Mr/Mrs/Miss/Ms/Other)	Telephone
Surname	E-mail Address
First name(s) in full	Do you have a National Insurance (NI) Number? <input type="checkbox"/>
Date of Birth <input type="text"/> - <input type="text"/> - <input type="text"/>	If 'Yes' you must enter it here: <input type="text"/>
Permanent Residential Address	(You should be able to find your NI Number on a payslip, form P45 or P60, a letter from the DSS, or pension order book)
Postcode	If you have never been issued with a National Insurance Number, please tick here <input type="checkbox"/>

Please ensure that you sign the legal declaration in Section 4.

2 Income & Growth Options

Please tick the option you require

Quarterly Income Annual Income Growth

All payments can only be made via the Bankers Automated Credit System (BACS) to an account within the UK clearing system. **Accordingly please complete the details below if you have chosen the Income Option and ensure that they are correct.**

Bank/Building Society

Address
Postcode
Account Name
Account Number <input type="text"/>
Bank Sort Code
Building Society Reference or Roll Number (if applicable) <input type="text"/>

Please do not detach

3 Existing ISA / PEP transfer request mandates

First Mandate – Instruction to the Plan Manager from whom you are transferring your PEP/ISA (delete as appropriate)
I hereby instruct you to sell investments within my plan immediately and transfer the cash proceeds, together with any interest, dividends, rights and any other cash within my Plan (less any amount you are entitled to keep under the terms of the Plan), to **Morgan Stanley & Co. International Limited, Administration Office, Floor 8, Fountain House, 2 Queens Walk, Reading RG1 7QF**. Please transfer my Plan once instructions have been received from **Morgan Stanley & Co. International Limited** to do so. After transfer, all dividends and tax credits due should be made payable directly to me. If you are not in a position to transfer my cash proceeds by 13 January 2003, please cancel my request to transfer and reinstate my PEP/ISA.

Second Mandate – Instruction to the Plan Manager from whom you are transferring your PEP/ISA (delete as appropriate)
I hereby instruct you to sell investments within my plan immediately and transfer the cash proceeds, together with any interest, dividends, rights and any other cash within my Plan (less any amount you are entitled to keep under the terms of the Plan), to **Morgan Stanley & Co. International Limited, Administration Office, Floor 8, Fountain House, 2 Queens Walk, Reading RG1 7QF**. Please transfer my Plan once instructions have been received from **Morgan Stanley & Co. International Limited** to do so. After transfer, all dividends and tax credits due should be made payable directly to me. If you are not in a position to transfer my cash proceeds by 13 January 2003, please cancel my request to transfer and reinstate my PEP/ISA.

Title (Mr/Mrs/Miss/Ms/Other)	
First name(s) in full	
Surname	
Address	
Postcode	
Telephone	
Signature	Date

Title (Mr/Mrs/Miss/Ms/Other)	
First name(s) in full	
Surname	
Address	
Postcode	
Telephone	
Signature	Date

4 Declaration & Authority

I declare that:

1. All subscriptions made, and to be made, belong to me;
2. I am 18 years of age or over;
3. I am resident and ordinarily resident in the UK for tax purposes, or non-resident but performing duties which by virtue of section 132(4)(a) of the Income and Corporation Taxes Act 1988 are treated as being performed in the UK, or I am married to a person who performs such duties and that I will immediately inform Morgan Stanley & Co. International Limited if I cease to be so resident and ordinarily resident or to perform such duties, or be married to a person who performs such duties.

I authorise Morgan Stanley & Co. International Limited:

1. To hold my ISA investments, PEP investments (as applicable), interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds;
2. To make on my behalf any claims to relief from tax in respect of ISA investments and PEP investments;
3. On my request to transfer or pay to me, as the case may be, ISA investments, PEP investments, interest, dividends, rights or other proceeds in respect of such investments, any cash or other proceeds;
4. To supply an annual statement.

I have read and understood the Morgan Stanley Income & Growth SmartPlan brochure containing the Key Features and Terms & Conditions and I understand that the Prospectus on which the shares of the company are issued is available on request. I acknowledge and agree to the terms under which my investments will be managed. I understand that Morgan Stanley does not provide investment advice in relation to the Plan and confirm that I either do not require such advice or have received advice on the Plan from an Independent Financial Adviser. I declare that this application form

has been completed to the best of my knowledge and belief.

Signature	X
Date	

Investors should be aware that the value of investments and the income from them can fall as well as rise, and that past performance is not necessarily a guide to future performance.

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Morgan Stanley & Co. International Limited will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies with whom we have a relationship, in the future.

If you would prefer not to receive such information please mark this box.

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Issued by Morgan Stanley & Co. International Limited, which is regulated by the Financial Services Authority.

Please do not detach

Existing ISA/PEP transfer request mandates (continued)

Name of Plan Manager	
Address of Plan Manager	
Postcode	
Account Number	
Type of Account*	Approx.Value
Account Number	
Type of Account*	Approx.Value
Account Number	
Type of Account*	Approx.Value
*Type of account e.g. Maxi ISA/General PEP/Single Company PEP Minimum aggregate transfer value £7,000	

Name of Plan Manager	
Address of Plan Manager	
Postcode	
Account Number	
Type of Account*	Approx.Value
Account Number	
Type of Account*	Approx.Value
Account Number	
Type of Account*	Approx.Value
*Type of account e.g. Maxi ISA/General PEP/Single Company PEP Minimum aggregate transfer value £7,000	

OFFICE USE ONLY	Client ref		Checked by	
	Deal ref			

Please complete in block capitals

1 Personal Details

Title (Mr/Mrs/Miss/Ms/Other)		Telephone	
Surname		E-mail Address	
First name(s) in full		It is possible to have a joint holder for Direct Investments. If you wish to use this facility, please complete the details of the joint holder here:	
Date of Birth	□□ - □□ - □□□□	Title : (Mr/Mrs/Miss/Ms/Other)	
Morgan Stanley Credit Card Ref. No.	□□□□□□□□□□	Surname	
Permanent Residential Address		First name(s) in full	
		For Direct Investments only, should you wish to invest on behalf of a child, please complete the child's name here:	
	Postcode		

2 Please insert your National Insurance Number (Only required for ISA Applications)

Please enter it here (You should be able to find your NI Number on a payslip, form P45 or P60, a letter from the DSS, or pension order book)

If you have never been issued with a National Insurance Number, please tick here Please ensure that you sign the legal declaration in Section 5

3 ISA and / or Direct Investments – New Subscriptions

(i) Maxi ISA for 2002/2003: I apply to subscribe the following amount to a Maxi ISA for the tax year ending 5/4/2003 only (minimum £5,000 and maximum £7,000)	Amount £ <input type="text"/>	Quarterly Income	<input type="checkbox"/>
		Annual Income	<input type="checkbox"/>
(ii) Mini Stocks and Shares ISA for 2002/2003: I apply to subscribe the following amount to a Mini Stocks and Shares ISA for the tax year ending 5/4/2003 only (minimum £3,000 and maximum £3,000)	Amount £ <input type="text"/>	Quarterly Income	<input type="checkbox"/>
		Annual Income	<input type="checkbox"/>
(iii) Direct Investment: I apply to subscribe the following amount (minimum £5,000)	Amount £ <input type="text"/>	Quarterly Income	<input type="checkbox"/>
		Annual Income	<input type="checkbox"/>
		Growth	<input type="checkbox"/>

or

and/or

Please make your cheque payable to 'Morgan Stanley Income & Growth 1 Client A/C', or for a building society cheque 'Morgan Stanley Income & Growth 1 Client A/C reference (your name)'

Total Subscriptions (overall minimum £3,000) £

4 Income Payment Options

All income payments can only be made via the Bankers Automated Credit System (BACS) to an account within the UK clearing system.

Accordingly please complete the details below if you have chosen the Income Option and ensure that they are correct.

Bank/Building Society	
Address	
	Postcode
Account Name	
Account Number	□□□□□□□□
Bank Sort Code	
Building Society Reference or Roll Number (if applicable)	□□□□□□□□□□□□□□